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<b>(21) International Application Number:</b> PCT/US93/06251 <b>(22) International Filing Date:</b> 30 June 1993 (30.06.93)  <b>(30) Priority data:</b> 907,768 30 June 1992 (30.06.92) US  <b>(71) Applicant:</b> RESEARCH CORPORATION TECHNOLOGIES, INC. [US/US]; 101 N. Wilmot Road, Suite 600, Tucson, AZ 85711-3335 (US).  <b>(72) Inventors:</b> WICKSTROM, Eric ; 787 N. 24th Street, Philadelphia, PA 19130 (US). RIFE, Jason, P. ; 220 Hedgecock Ct., Satellite Beach, FL 32937 (US).	<b>(74) Agents:</b> SCOTT, Anthony, C. et al.; Scully, Scott, Murphy & Presser, 400 Garden City Plaza, Garden City, NY 11530 (US).  <b>(81) Designated States:</b> AU, CA, JP, KR, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>	
<b>(54) Title:</b> TRIVALENT SYNTHESIS OF OLIGONUCLEOTIDES CONTAINING STEREOSPECIFIC ALKYLPHOSPHONATES AND ARYLPHOSPHONATES  <b>(57) Abstract</b> <p>The present invention provides a method for making R stereospecific alkyl- and aryl-phosphonate linkages between nucleotides. These methods can be used for automated synthesis of oligonucleotides having sequential R stereospecific alkyl- and aryl-phosphonate linkages. The present invention is also directed to the oligonucleotides having several sequential R phosphonate linkages which were produced by the subject methods. Moreover, the present invention provides methods for using the subject oligonucleotides, including methods for regulating the biosynthesis of a DNA, an RNA or a protein and methods for detecting and isolating complementary nucleic acid targets.</p>		

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-2-

1 interest recently in developing oligonucleotides as  
therapeutic agents which can regulate the biological  
function of cellular or viral nucleic acids.

Interest in oligonucleotides as therapeutic  
5 agents has arisen from observations of naturally  
occurring complementary, or antisense, RNA used by some  
cells to control protein expression. More recently,  
synthetic oligonucleotides have been used with success  
to inhibit gene expression. For example,  
10 oligonucleotides were initially utilized to inhibit  
growth of Rous sarcoma virus (Zamecnik et al. 1978 Proc.  
Natl. Acad. Sci. USA 75: 280-284). Since such initial  
studies, oligonucleotides have been used to inhibit the  
expression of a wide variety of target nucleic acids in  
15 both cell-free extracts and in whole cells derived from  
diverse organisms, including viruses, bacteria, plants  
and animals. For example, expression of vesicular  
stomatitis virus matrix protein, human c-myc  
protooncogene, and c-Ha-ras protooncogene has been  
20 inhibited by oligonucleotides (Wickstrom et al. 1986  
Biophys. J. 49: 15-19; Heikkila et al. 1987 Nature 328:  
445-449; Wickstrom et al. 1988 Proc. Natl. Acad. Sci.  
USA 85: 1028-1032; and Daaka et al. 1990 Oncogene Res.  
5: 267-275). A review of such therapeutic applications  
25 for oligonucleotides is provided by Uhlmann et al. 1990,  
Chemical Reviews 90: 543-584.

However, the development of oligonucleotides  
for in vivo regulation of biological processes has been  
hampered by several long-standing problems, including  
30 the nuclease sensitivity and poor cell penetrability of  
oligonucleotides.

-3-

1           In contrast to normal phosphodiester (O-PO<sub>2</sub>-O)  
linkages present in common, naturally occurring nucleic  
acids, both R and S stereoisomeric aryl- or alkyl-  
substituted phosphonate linkages confer several  
5 desirable properties upon an oligonucleotide, including  
increased nuclease resistance and increased cell  
penetration. Moreover, oligonucleotides having racemic  
alkylphosphonate linkages have been shown to  
specifically inhibit growth of simian virus 40,  
10 vesicular stomatitis virus, herpes simplex virus type 1  
and human immunodeficiency virus (Miller et al. 1985  
Biochimie 67: 769-776; Agris et al. 1986 Biochemistry  
25: 6268-6275; Smith et al. 1986 Proc. Natl. Acad. Sci.  
USA 83: 2787-2791; and Sarin et al. 1988 Proc. Natl.  
15 Acad. Sci. USA 85: 7448-7451).

          However, relatively high concentrations of  
alkyl- or aryl-phosphonate oligonucleotides have been  
required to achieve a significant therapeutic effect.  
This requirement for high oligonucleotide concentrations  
20 is apparently due to inefficient binding by  
oligonucleotides which have some phosphonate linkages in  
the S-stereospecific configuration (Miller 1991  
Biotechnology 9: 358-362). S-stereospecific linkages  
are generated in addition to R-stereospecific linkages  
25 using presently available non-stereospecific synthetic  
procedures.

          In particular, replacement of one of the  
phosphate oxygens with another group, so that four  
different groups are attached to the phosphorous atom,  
30 generates a chiral phosphate which can exist in two  
stereo-configurations, R and S (Rp and Sp,  
respectively). Current synthetic procedures are non-

-4-

1 stereospecific and typically generate a linkage having  
either a Rp or Sp configuration, as each nucleotide is  
added, to thereby generate an oligonucleotide having a  
mixture of Rp and Sp linkages. However, the melting  
5 temperatures of pure Rp and Sp isomers differ  
significantly, with the Rp isomer binding much more  
strongly than the Sp isomer (Miller et al. 1980 J. Biol.  
Chem. 235: 9659-9665; and Lesnikowski et al. 1990  
Nucleic Acids Res. 18: 2109-2115). Hence,  
10 oligonucleotides with Rp phosphonate linkages have  
highly desirable binding properties and consequently  
greater utility than oligonucleotides with Sp or racemic  
phosphonate linkages.

Moreover, a procedure which efficiently  
15 produces such highly desirable Rp isomer linkages on  
alkyl- or aryl-phosphonate oligonucleotides presents a  
large improvement over available prior art procedures.

Present methods for obtaining oligonucleotides  
with only Rp alkyl- or aryl-phosphonate linkages  
20 require steps that are not readily adapted to  
automation, are inefficient or can be used for obtaining  
very short oligonucleotides, i.e. oligonucleotides  
having only up to about 8 oligonucleotides. For  
example, Lesnikowski et al. (1988 Nucleic Acids Res. 16:  
25 11675-11689) have reported stereospecific dimer, trimer  
and tetramer synthesis of oligonucleotides using  
Grignard reagent activation of the 5'-OH group  
nucleotide and purification of Rp and Sp isomers after  
addition of each nucleotide. However, these methods  
30 present formidable difficulties for automation. More  
recently, Lesnikowski et al. (1990 Nucleic Acids Res.  
18: 2109-2115) have reported synthesis of an octamer

1 (dT)<sub>n</sub> with a central racemic methylphos-phonate linkage  
and with other linkages as either all Rp or all Sp.  
Lebedev et al. (1990b Tetrahedron Letters 31: 855-858)  
provide a method for making single stereospecific  
5 phosphonothioate (i.e. P-S-C-5') linkages between two  
nucleotides. However, to date there is no disclosure of  
a method which permits efficient automated synthesis of  
Rp-stereospecific alkyl- or aryl-phosphonate (i.e. P-O-  
C-5') linkages.

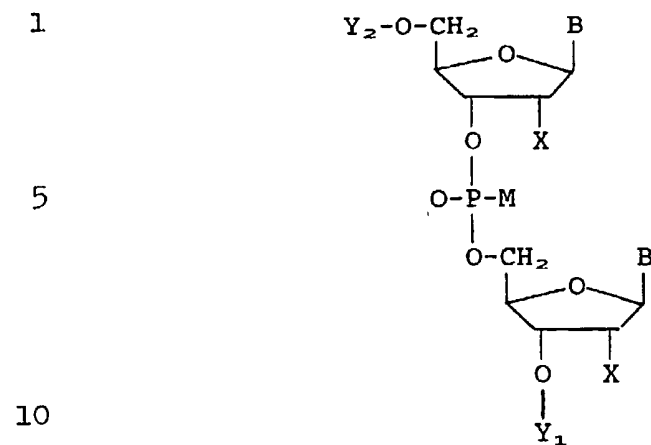
10 The present invention provides efficient  
methods for synthesis of Rp stereospecific alkyl- and  
aryl-phosphonate linkages between nucleotides of an  
oligonucleotide. Moreover, the present methods can  
readily be adapted for automated oligonucleotide  
15 synthesis. The present invention is also directed to Rp  
isomeric oligonucleotides produced by these methods, and  
to methods of using the present Rp alkyl- or aryl-  
phosphonate oligonucleotides as diagnostic probes and as  
therapeutic agents.

20 The present invention is directed to a method  
for producing an oligonucleotide having an Rp  
stereoisomeric alkyl- or aryl-phosphonate linkage  
between a first nucleotide and a second nucleotide in  
the oligonucleotide, wherein the oligonucleotide is of  
25 the formula:

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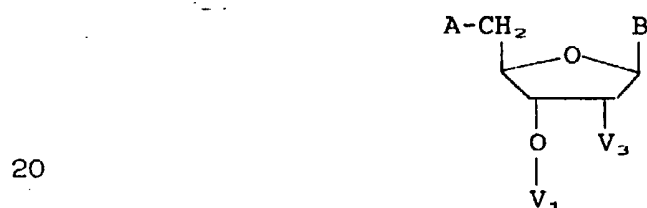
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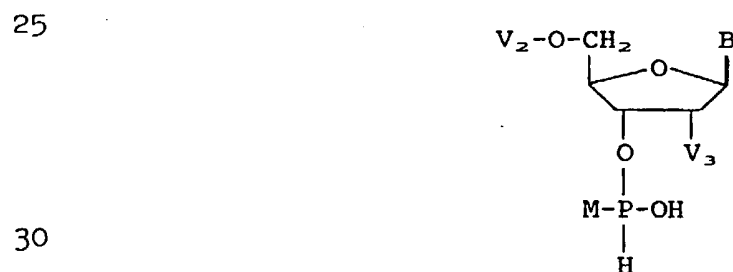


which comprises:

- (a) reacting a 5'-O-activated nucleotide of  
the formula:



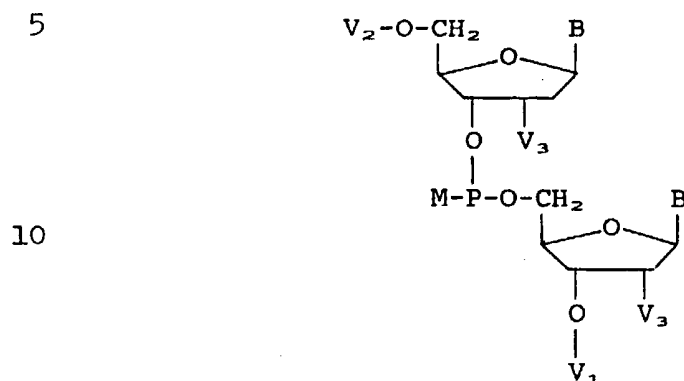
with an alkyl- or aryl-phosphinate nucleotide  
intermediate of the formula:





-7-

1 under conditions sufficient to produce an Sp  
 stereoisomeric alkyl- or aryl-phosphonate linkage of the  
 formula:



15 wherein:

$V_1$  is a hydrogen, phosphate, phosphate present  
 in the oligonucleotide or  $V_1$ ;

$V_2$  is a hydrogen, phosphate, phosphate present  
 in the oligonucleotide or  $V_2$ ;

20  $X$  is hydroxy or  $V_3$ ;

$V_1$  is a protecting group, a solid support or a  
 phosphate attached to a penultimate nucleotide of the  
 oligonucleotide;

$V_2$  is a protecting group;

25  $V_3$  is hydrogen or  $O-Y_3$  wherein  $Y_3$  is lower  
 alkyl or protecting group;

$M$  is a lower alkyl, cycloalkyl, thio, a  
 thio-lower alkyl, aryl or aryl-lower alkyl group which  
 can be substituted with at least one hydroxy, halogen or  
 cyano group;

30 each  $B$  group is independently a purine or  
 pyrimidine base which can have 1-3 substituents selected

35

1 from the group consisting of lower alkyl, amino, oxo,  
hydroxy, lower alkoxy, amino-lower alkyl, lower  
alkylamino, hydroxy-lower alkyl, aryl and aryl lower  
alkyl;

5 A is an activating group;  
the intermediate has an Sp phosphorus  
stereoisomeric configuration; and

(b) reacting the Sp linkage with an oxidizing  
agent under conditions sufficient to produce Rp  
10 stereoisomeric alkyl- or aryl-phosphonate linkage; and

(c) when  $V_1$ ,  $V_2$  or  $V_3$  is a protecting group,  
optionally removing said  $V_1$ ,  $V_2$  or  $V_3$  protecting group.

The present invention also relates to a method  
of producing a polynucleotide chain of an  
15 oligonucleotide having at least one Rp alkyl-phosphonate  
or one Rp aryl-phosphonate linkage.

The present invention further relates to an  
alkyl- or aryl-phosphonothioate nucleotide intermediate,  
wherein the intermediate has an Sp stereoisomeric  
20 phosphorus configuration. Such an intermediate can be  
used to generate the present Rp stereoisomeric linkages.

The present invention still further relates to  
a compartmentalized kit for producing a polynucleotide  
chain of an oligonucleotide having at least five Rp  
25 alkyl-phosphonate or Rp aryl-phosphonate linkages.

The present invention also relates to an  
oligonucleotide having at least five Rp alkyl-  
phosphonate or Rp aryl-phosphonate linkages produced by  
the subject methods.

30 The present invention further relates to the  
present oligonucleotides which have an attached agent to  
facilitate cell delivery, a drug or a reporter molecule.

1           The present invention still further relates  
to a compartmentalized kit for detection or diagnosis of  
a target nucleic acid.

          The present invention additionally relates to  
5 a compartmentalized kit for isolation of a template  
nucleic acid.

          The present invention also relates to a method  
of regulating biosynthesis of a DNA, an RNA or a protein  
using the subject Rp alkyl- or aryl-phosphonate  
10 oligonucleotides.

          The present invention further relates to a  
pharmaceutical composition for regulating biosynthesis  
of a nucleic acid or protein comprising a  
pharmaceutically effective amount of one of the present  
15 oligonucleotides and a pharmaceutically acceptable  
carrier.

          The present invention still further relates to  
a method of detecting a target nucleic acid which  
includes contacting one of the present oligonucleotides  
20 with a sample to be tested for containing such a nucleic  
acid for a time and under conditions sufficient to form  
an oligonucleotide-target complex; and detecting such a  
complex.

          Fig. 1 depicts a chromatograph of Rp and Sp  
25 stereoisomers of dithymidine methylphosphonate separated  
by liquid chromatography on a 4.6 x250 mm C<sub>18</sub> silica  
column with gradient elution using 10% to 15%  
acetonitrile in water (0.25%/min) at a flow rate of 1.0  
ml/min.

30           Fig. 2 depicts superimposed circular dichroism  
spectra of Rp and Sp dithymidine methylphosphonate  
stereoisomers separated as illustrated in Fig. 1. Each

-10-

- 1 stereoisomer has a characteristic spectrum which can be  
used to identify that stereoisomer.

Fig. 3 depicts  $^1\text{H}$  NMR spectra of Rp (top) and  
Sp (bottom) stereoisomers of dithymidine

- 5 methylphosphonate, illustrating several distinct peaks  
characteristic of a given stereoisomer which can be used  
for stereoisomeric identification, e.g. the  $\text{H}_2$  and  $\text{H}_6$   
peaks.

- Fig. 4 depicts  $^{31}\text{P}$  NMR spectra of Rp (top) and  
10 Sp (bottom) stereoisomers of dithymidine  
methylphosphonate. The Rp stereoisomer has a  
characteristic additional peak at 7.984 ppm which can be  
used to identify this stereoisomer.

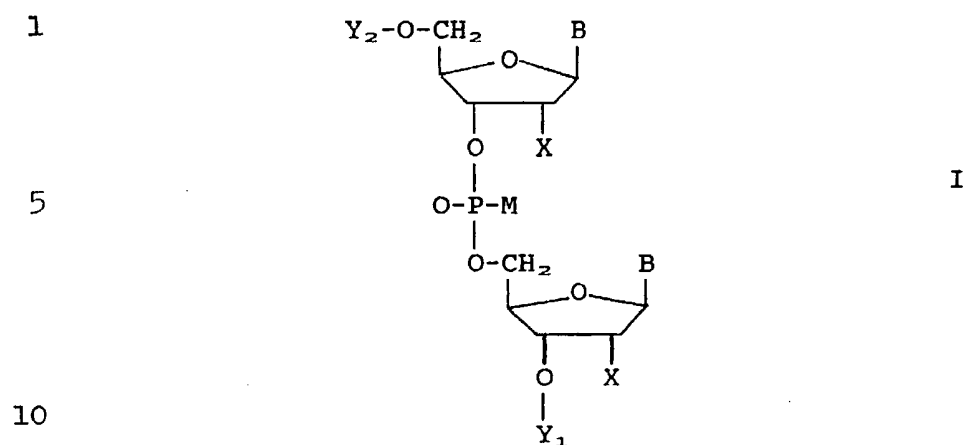
- Fig. 5 depicts a spectrograph of 5'-  
15 dimethoxytrityl-tetrathymidine methylphosphonate-3'-  
acetate (DMT-TpTpTpT-OAc) produced by fast atom  
bombardment mass spectroscopy (FABMS). Specific peaks  
corresponding to distinct molecular fragments of  
DMT-TpTpTpT-OAc are identified (e.g. 5'-dimethoxytrityl-  
20 dithymidine, DMT-TpT, at 850 m/e).

- The present invention provides a method for  
producing an oligonucleotide having an Rp stereoisomeric  
alkyl- or aryl-phosphonate linkage between a first  
nucleotide and a second nucleotide in the  
25 oligonucleotide, wherein the oligonucleotide is of the  
formula:

30

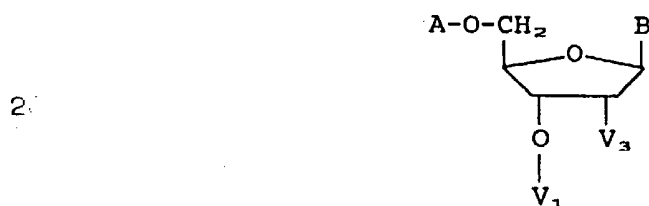
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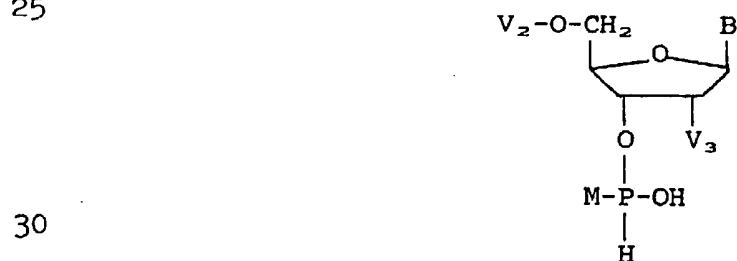


According to the present invention, Rp stereoisomeric alkyl- or aryl-phosphonate linkages between two nucleotides are formed by:

15 (a) reacting a 5'-O-activated nucleotide of the formula:

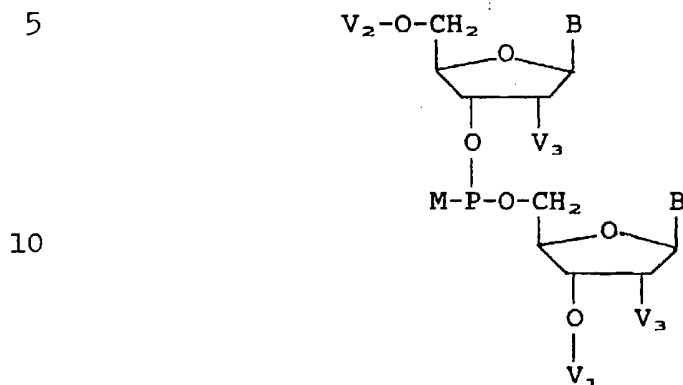


with an alkyl- or aryl-phosphinate nucleotide intermediate of the formula:



-12-

1 under conditions sufficient to produce an Sp  
 stereoisomeric alkyl- or aryl-phosphonate linkage of the  
 formula:



15 wherein:

$Y_1$  is a hydrogen, phosphate, phosphate present  
 in the oligonucleotide or  $V_1$ ;

$Y_2$  is a hydrogen, phosphate, phosphate present  
 in the oligonucleotide or  $V_2$ ;

20  $X$  is hydroxy or  $V_3$ ;

$V_1$  is a protecting group, a solid support or a  
 phosphate attached to a penultimate nucleotide of the  
 oligonucleotide;

$V_2$  is a protecting group;

25  $V_3$  is hydrogen or  $O-Y_3$ , wherein  $Y_3$  is lower  
 alkyl or protecting group;

$M$  is a lower alkyl, cycloalkyl, thio, a  
 thio-lower alkyl, aryl or aryl-lower alkyl group which  
 can be substituted with at least one hydroxy, halogen or  
 cyano group; and

30 each  $B$  group is independently a purine or  
 pyrimidine base which can have 1-3 substituents selected

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-13-

1 from the group consisting of lower alkyl, amino, oxo,  
hydroxy, lower alkoxy, amino-lower alkyl, lower  
alkylamino, hydroxy-lower alkyl, aryl and aryl lower  
alkyl; and

5 A is an activating group;

(b) reacting the Sp linkage with an oxidizing  
agent under conditions sufficient to produce the Rp  
stereoisomeric alkyl- or aryl-phosphonate linkage; and

(c) when  $V_1$ ,  $V_2$  or  $V_3$  is a protecting group,  
10 optionally removing said  $V_1$ ,  $V_2$  or  $V_3$  protecting group.

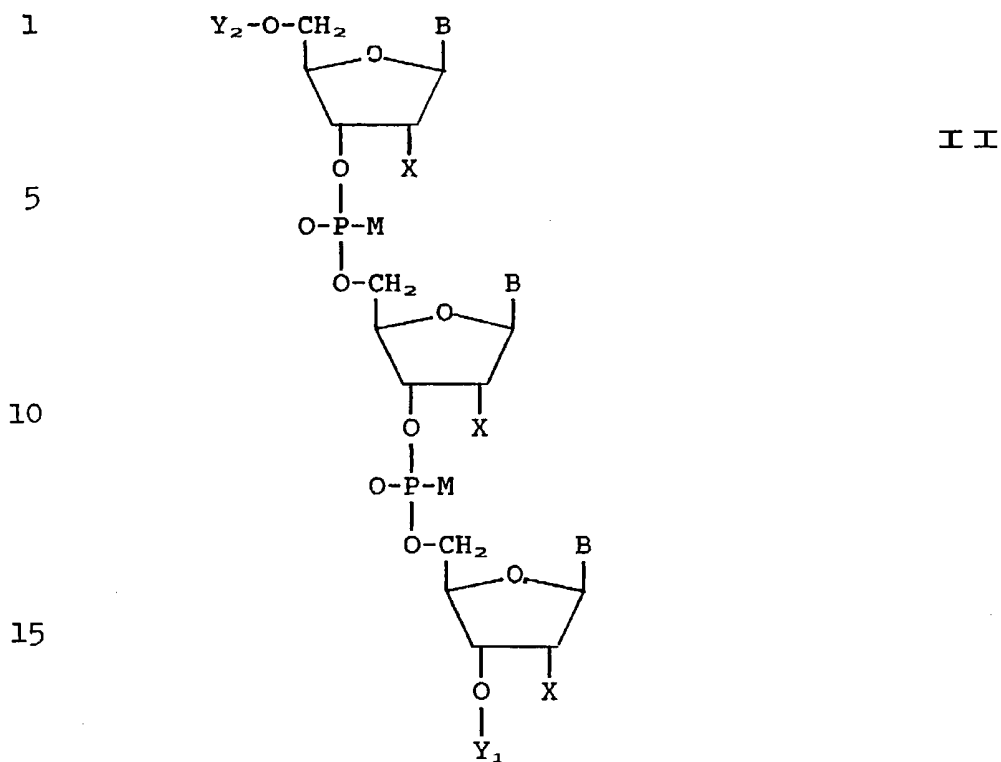
In another embodiment, the present invention  
provides a method of producing a polynucleotide chain of  
an oligonucleotide having at least one Rp-alkyl-  
phosphonate or Rp-aryl-phosphonate linkage, wherein the  
15 oligonucleotide has the formula:

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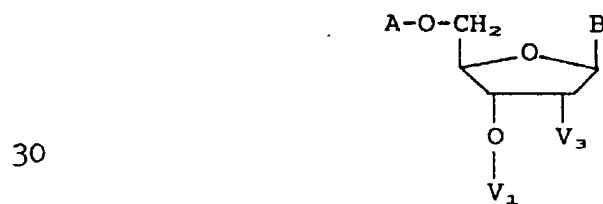
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20 The present method for producing at least one Rp-alkyl-phosphonate or Rp-aryl-phosphonate linkage in a polynucleotide chain of an oligonucleotide includes the following steps:

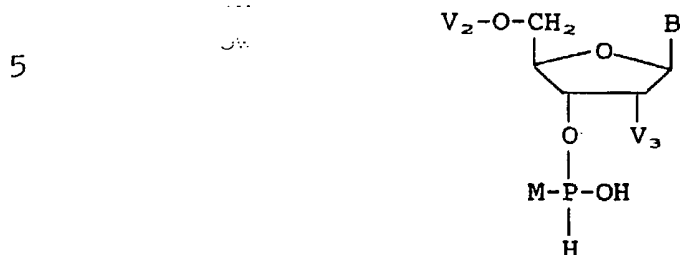
(a) reacting a 5'-O-activated nucleotide of the formula:



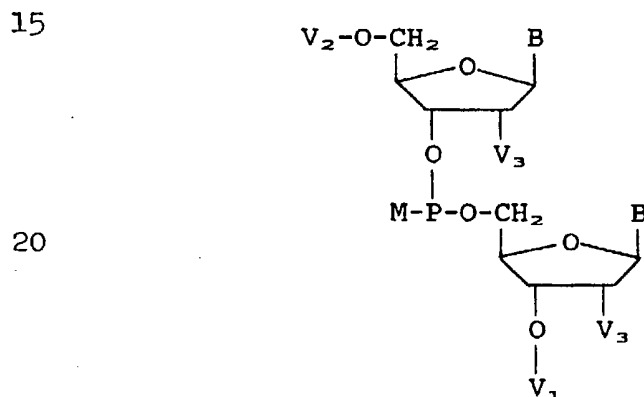
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with an alkyl- or aryl-phosphinate nucleotide intermediate of the formula:



under conditions sufficient to produce an Sp stereoisomeric alkyl- or aryl-phosphonate linkage of the formula:



wherein:

$Y_1$ ,  $Y_2$ ,  $X$ ,  $V_1$ ,  $V_2$ ,  $V_3$ ,  $M$  and  $B$  are as defined hereinabove; and

$n$  is an integer of from 0 to 200;

the intermediate has an Sp phosphorus stereoisomeric configuration; and

$A$  is an activating group present on the 5'-activated oxygen;

-16-

1 (b) reacting the Sp linkage with an oxidizing agent under conditions sufficient to produce the Rp stereoisomeric alkyl- or aryl-phosphonate linkage and so generate a new 5'-terminal nucleotide;

5 (c) removing the V<sub>2</sub> protecting group from the new 5'-terminal nucleotide;

(d) activating a 5'-oxygen on the new 5'-terminal nucleotide to generate a new 5' activated oxygen;

10 (e) reacting the product of (d) with another alkyl- or aryl-phosphinate nucleotide intermediate under conditions sufficient to produce another Rp stereoisomeric linkage and to generate a new 5'-terminal nucleotide;

15 (f) repeating steps c, d and e to extend the polynucleotide chain n-1 times; and

(g) when V<sub>1</sub>, V<sub>2</sub> or V<sub>3</sub> is a protecting group, optionally removing said V<sub>1</sub>, V<sub>2</sub> or V<sub>3</sub> protecting group.

If the desired product is a compound of  
20 Formula I or II wherein X is OH and Y<sub>1</sub> or Y<sub>2</sub> are hydrogen or phosphate, such groups are generated upon removal of the protecting groups by standard techniques known to one skilled in the art.

The Rp stereoisomeric alkyl- or aryl-  
25 phosphonate linkages produced by the methods of the present invention have M substituents on the phosphate atom. Such an M substituent is present instead of an oxygen atom commonly found in conventional nucleic acids which have -O-PO<sub>2</sub>-O- linkages. According to the present  
30 invention, M is a lower alkyl, a cycloalkyl, a thioxo, a thio-lower alkyl, an aryl or an aryl lower alkyl group wherein such lower alkyl and aryl groups can be

-17-

1 substituted with at least one hydroxy, halogen or cyano group.

As used herein the term lower alkyl refers to alkyl groups containing one to six carbon atoms. Lower  
5 alkyl groups can be straight-chained or branched, and include such moieties as methyl, ethyl, propyl, isopropyl, n-butyl, sec-butyl, iso-butyl, t-butyl, pentyl, amyl, hexyl and the like. Preferred M alkyl groups of the present invention have from one to four  
10 carbon atoms. The most preferred M alkyl group is methyl. Similarly, a lower alkenyl is a lower alkyl with 1-3 carbon-carbon double bonds.

Moreover, an alkoxy group is a lower alkyl attached via an oxygen atom; a lower acyl is a lower  
15 alkyl attached via a carbonyl (C=O); and a lower cyanoalkyl is a lower alkyl with a CN substituent.

The term cycloalkyl refers to saturated cyclic structure, i.e. a ring, having 3-7 ring carbon atoms. Cycloalkyl groups contemplated by the present invention  
20 include cyclopropyl, cyclo-butyl, cyclopentyl, cyclohexyl, cycloheptyl rings and the like.

A thioxo group is a =S group and a thio-lower alkyl is a lower alkyl attached to the phosphate via a sulfur atom.

25 The term aryl refers to an aromatic moiety containing 6-10 ring carbon atoms and includes phenyl,  $\alpha$ -naphthyl,  $\beta$ -naphthyl, and the like. A preferred aryl group is phenyl.

An aryloxy group is an aryl attached via an  
30 oxygen atom and an aroyl is an aryl attached via a carbonyl (CO). Similarly, an aryloxyacyl is an aryl linked to an acyl via an oxygen atom.

-18-

1           According to the present invention a halo  
group is a halogen. Halo groups include fluorine,  
chlorine, bromine and iodine. A preferred halo group  
for substitution on M lower alkyl, aryl, and aryl lower  
5 alkyl groups is fluorine.

          Preferred M groups are lower alkyl or phenyl  
groups which can be substituted with a halo group,  
preferably a fluorine. More preferred M groups are  
unsubstituted lower alkyl groups. An especially  
10 preferred M group is an unsubstituted methyl group.  
Therefore, the preferred Rp-stereoisomeric linkages of  
the present invention are alkylphosphonate linkages and  
more preferably are methylphosphonate linkages.

          According to the present invention, the  
15 nucleotides joined by the present alkyl- or aryl-  
phosphonate linkages can have deoxyribose or ribose  
sugar moieties. Therefore, as defined herein X is  
either hydroxy or  $V_3$ , wherein  $V_3$  is hydrogen, or  $O-Y_3$ ,  
and  $Y_3$  is lower alkyl or a protecting group.  
20 Accordingly, when X is hydrogen a deoxyribose sugar is  
present but when X is hydroxy or  $-O-Y_3$  a ribose sugar,  
an O-alkyl ribose sugar or a protected ribose sugar, is  
present in the associated nucleotide. Preferred  
oligonucleotides of the present invention have X as  
25 hydrogen or hydroxy. However, during synthesis of the  
present oligonucleotides such a hydroxy is protected  
with a protecting group, which can be removed at  
conclusion of synthesis by the present methods.

          The nucleotides linked according to the  
30 present invention each have a B group which represents  
the base moiety present on the nucleotide. Each B group  
is independently a purine or pyrimidine base which can

1. have 1-3 substituents independently selected from the group consisting of lower alkyl, amino, oxo, hydroxy, lower alkoxy, amino-lower alkyl, lower alkylamino, hydroxy-lower alkyl, aryl and aryl lower alkyl.
- 5 Preferred B groups of the present invention are purines such as guanine (G) and adenine (A), and pyrimidines such as thymine (T), cytosine (C) or uracil (U). In addition, preferred B groups include any related base analog that is capable of base pairing with
- 10 a guanine, adenine, thymine, cytosine or uracil. For example, such base analogs include pseudocytosine, isopseudocytosine, 3-aminophenyl-imidazole, 2'-O-methyladenine, 7-deazadenine, 7-deazaguanine, 4-acetylcytosine, 5-(carboxy-hydroxymethyl)-uracil, 2'-O-
- 15 methylcytosine, 5-carboxymethyl-aminomethyl-2-thiouracil, 5-carboxymethylamino-methyluracil, dihydrouracil, 2'-O-methyluracil, 2'-O-methylpseudouracil,  $\beta$ -D-galactosylqueonine, 2'-O-methylguanine, xanthine, hypoxanthine, N6-
- 20 isopentenyladenine, 1-methyladenine, 1-methylpseudouracil, 1-methylguanine, 1-methylxanthine, 2,2-dimethylguanine, 2-methyladenine, 2-methylguanine, 3-methylcytosine, 5-methylcytosine, 5-methyluracil, N6-methyladenine, 7-methylguanine, 5-methylamino-
- 25 methyluracil, 5-methoxyaminomethyl-2-thiouracil,  $\beta$ -D-mannosylqueonine, 5-methoxycarbonylmethyluracil, 5-methoxyuracil, 2-methyl-thio-N6-isopentenyladenine, N-(9-beta-D-ribofuranosyl-2-methylthiopurine-6-yl)-
- 30 carbamoyl)threonine, N-(9-beta-D-ribofuranosylpurine-6-yl)-N-methylcarbamoyl)threonine. B groups in an  $\alpha$ -anomeric configuration can also be present in the nucleotides linked by the present methods.

1 Preferred B groups are unmodified G, A, T, C  
and U bases. In addition, preferred B groups include  
pyrimidines and purines with 1-2 substituents  
independently selected from the group consisting of  
5 amino, oxo, hydroxy, lower alkyl, lower alkoxy, lower  
alkylamine, phenyl or lower alkyl substituted phenyl  
groups. It is more preferred that these groups are  
present on the 5 position of the pyrimidine and on the 7  
or 8 position of the purine. Especially preferred base  
10 analogs are 5-methylcytosine, 5-methyluracil and  
diaminopurine.

Moreover, the selection of a B group for each  
nucleotide added to the growing polynucleotide chain  
determines the nucleotide sequence of an oligonucleotide  
15 produced by the present methods. Accordingly, the  
present methods can be used to generate oligonucleotides  
having any desired nucleotide sequence by varying which  
nucleotide base B is placed at each position. The  
selection of a nucleotide sequence is generally  
20 determined by the intended purpose of the  
oligonucleotide and is described in more detail  
hereinbelow.

According to the present invention n is an  
integer used to describe the number of Rp alkyl- or  
25 aryl-phosphonate linkages sequentially synthesized by  
the present methods. As used herein, n is 0 to 200.  
Moreover, up to 201 Rp alkyl- or aryl-phosphonate  
linkages can be formed sequentially when n ranges from 0  
to is 200. However, when n is 0, a single Rp alkyl- or  
30 aryl-phosphonate linkage is formed. Therefore, the  
present invention is directed towards application of the  
subject methods to form isolated Rp phosphonate linkages

-21-

1 as well as sequential chains of Rp stereoisomeric alkyl-  
or aryl-phosphonate linkages.

Preferably, n is at least 5. However, a value  
of at least 8 is more preferred for n. Even more  
5 preferred is a value of at least 10 for n. Especially  
preferred values for n are at least 12 and 14.

According to the present invention,  $Y_1$  is  
present on a 3'-oxygen of a nucleotide and can be a  
hydrogen, phosphate, phosphate present in the  
10 oligonucleotide or  $V_1$ .  $V_1$  is related to  $Y_1$  in that  $V_1$   
and  $Y_1$  are at the same position and  $Y_1$  can have the same  
meaning as  $V_1$ . As used herein  $V_1$  is a protecting group,  
a solid support or a phosphate attached to a penultimate  
15 nucleotide of the oligonucleotide. Such a penultimate  
nucleotide is the nucleotide next to the 5'-terminal  
nucleotide.

Moreover, as used herein,  $Y_2$  is present on a  
5'-oxygen of a nucleotide or an oligonucleotide and can  
be a hydrogen, a phosphate, or  $V_2$ , wherein  $V_2$  is a  
20 protecting group. Since  $Y_2$  and  $V_2$  are at the same  
position, removal of a  $V_2$  protecting group can generate  
a  $Y_2$  hydrogen or phosphate.

Similarly, X and  $V_3$  are related not only by  
virtue of placement at the same position (2') but also  
25 because X can have the same meaning as  $V_3$ , i.e. X is  
hydroxy or  $V_3$ . When X is  $V_3$ ,  $V_3$  can be hydrogen or O- $Y_3$   
wherein  $Y_3$  is a lower alkyl or a protecting group.  
According to the present invention, removal of a  $Y_3$   
protecting group can produce a hydroxy group, i.e. X as  
30 OH.

As used herein, formulas I and II represent a  
portion of a oligonucleotide when  $Y_1$  or  $Y_2$  is defined as

1 a phosphate present in the oligonucleotide. Hence  
additional nucleotides can flank the Rp phosphonate  
linkage being formed when  $Y_1$  or  $Y_2$  is a phosphate  
present in the oligonucleotide. In particular, usage of  
5  $Y_1$  or  $Y_2$  as a phosphate present in the oligonucleotide  
is intended to indicate that the oligonucleotide can be  
longer than the  $n$  sequential Rp linkages formed  
according to the present methods. More particularly,  
the present invention contemplates conventional  
10 phosphodiester linkages, or on interspersing of  
conventional phosphodiester and Rp phosphonate linkages  
in the parts of the oligonucleotide attached to a  $Y_1$  and  
 $Y_2$  phosphate. As used herein a conventional  
phosphodiester linkage is a  $-O-PO_2-O-$  linkage between 3'-  
15 and 5'-positions of two nucleoside sugars.

Preferably about 1 to about 50  $-O-PO_2-O-$   
linkages can be added to, or interspersed between, Rp  
phosphonate linkages of the present oligonucleotides.  
Moreover, such conventional oligonucleotides are added  
20 by known procedures which are readily available to the  
skilled artisan (e.g., Uhlmann et al. 1990 Chemical  
Reviews 90: 544-584).

Therefore, the present methods can be adapted  
to include at least one additional step directed to  
25 adding about 1 to about 50 non-alkyl-phosphonate or non-  
aryl-phosphonate nucleotides wherein such nucleotides  
are joined by  $-O-PO_2-O-$  linkages.

As provided by the present invention, an  
internal or non-terminal Rp linkage is produced when  
30 both  $Y_1$  and  $Y_2$  are phosphates present in the  
oligonucleotide. However, when  $Y_1$  or  $Y_2$  is other than a  
phosphate present in the oligonucleotide, a 3'-terminal



-23-

1 or a 5'-terminal linkage, respectively, can be made.  
Accordingly, the present methods can be used to generate  
both internal and terminal Rp stereoisomeric alkyl- or  
aryl-phosphonate linkages.

5           Moreover, sequential Rp linkages can also be  
formed by the present methods since  $V_1$  can be defined as  
the phosphate present on the penultimate nucleotide of  
the oligonucleotide at each round of synthesis. Such a  
penultimate nucleotide is the nucleotide next to the 5'-  
10 terminal nucleotide.

As defined,  $V_1$  can also be a solid support.  
Preferably  $V_1$  is a solid support when the present  
methods are performed by automation since  $V_1$  can thereby  
serve as an anchor for the growing polynucleotide chain.  
15 Such a solid support can be any known support used  
during synthesis of DNA or RNA. Common types of solid  
supports include controlled pore glass (CPG),  
polystyrene silica, cellulose, nylon and the like.  
Preferred solid supports are CPG and polystyrene. An  
20 especially preferred solid support is CPG.

The  $V_1$  solid support is covalently linked to  
the 3'-OH of a nucleoside by known procedures (Matteucci  
et al. 1980 Tetrahedron Letters 21: 719-722).  
Alternatively, nucleosides linked to solid supports can  
25 be purchased commercially, e.g. from Sigma Chemical  
Company. Moreover, a solid support can also be removed  
from an oligonucleotide of the present invention by  
known procedures, e.g. by alkaline hydrolysis.

The  $V_1$ ,  $V_2$ ,  $V_3$  protecting groups can be used  
30 when the present synthetic methods are employed to form  
the subject Rp stereospecific phosphonate linkages. In  
particular, the present invention provides such

-24-

1 protecting groups for covalent binding to a reactive  
group on a nucleotide. Such binding by a reactive group  
can render that reactive group unreactive while the  
present synthetic methods are performed. Reactive  
5 groups of the present invention include 5'-OH, 3'-OH,  
2'-OH and related groups, e.g. reactive groups present  
on the B bases. Ideally, a protecting group is easily  
removed to regenerate the correct structure of the  
reactive group without chemically altering the remainder  
10 of the molecule.

Examples of protecting groups contemplated by  
the present invention include any known blocking or  
protecting agent used during synthesis of  
deoxyribooligonucleotides or ribooligo-nucleotides to  
15 protect a a hydroxy group on a nucleotide, e.g. a 5'-OH,  
3'-OH or 2'-OH group. The  $V_1$ ,  $V_2$  and  $V_3$  protecting  
groups are preferably attached via an oxygen atom. Such  
O-linked protecting groups are useful for protecting the  
OH groups on nucleotides. In this regard, Greene (1981  
20 Protecting Groups in Organic Synthesis, John Wiley &  
Sons, Inc.) provides a comprehensive review of  
protecting groups which can be used for different  
reactive groups including OH reacting groups. Preferred  
protecting groups are lower alkyl, lower acyl, aroyl,  
25 aryloxy, aryloxyacyl, haloaryl, fluorenyl methoxy  
carbonyl (Fmoc), trityl, monomethoxytrityl (MMT),  
dimethoxytrityl (DMT) and related groups. More  
preferred protecting groups include isopropyl, isobutyl,  
2-cyanoethyl, acetyl, benzoyl, phenoxyacetyl,  
30 halophenyl, Fmoc, trityl, MMT, DMT and the like.

According to the present invention, an  
activating group A is an  $R-Z_1-CO-$  or  $R-Z_1-SO_2-$  wherein:

1 R is lower alkyl, lower alkenyl, mono-, di- or  
tri- cycloalkyl, lower carbalkyl, aryl or aryl lower  
alkyl which can be substituted with up to three lower  
alkyl, halo, amino, ammonio ( $\text{NH}_4^+$ ) or nitro groups; and

5  $Z_1$  is an oxygen atom or a chemical bond.

An A activating group of the present invention  
is preferably a lower alkyl sulfonyl, lower alkyl  
sulfinyl, lower carbalkyl sulfonyl, lower carbalkyl  
sulfinyl, lower carbalkoxy, acetyl, lower alkoxy acetyl,  
10 benzoyl, adamantoyl, crotonyl or 4-alkoxycrotonyl group,  
wherein such a lower alkyl, lower carbalkyl, aryl,  
alkoxy, acetyl, benzoyl, adamantoyl or crotonyl can be  
substituted with up to three lower alkyl, halo, amino,  
ammonio or nitro groups.

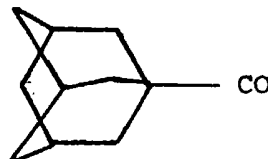
15 As used herein a sulfonyl is a  $\text{SO}_2$  group.  
Similarly, a sulfinyl is a  $\text{SO}$  group. When A includes a  
sulfonyl or a sulfinyl group, these groups are  
preferably attached via the sulfur to the 5'-oxygen of  
the 5'-O-activated nucleotide.

20 A lower carbalkyl of the present invention is  
a  $-\text{CO}-$  attached to a lower alkyl. Similarly, a lower  
carbalkoxy is a carboxylate ( $-\text{COO}-$ ) with a lower alkyl  
attached to the monosubstituted carboxylate oxygen.

According to the present invention an acetyl  
25 is a  $-\text{CO}-\text{CH}_3$  and a lower alkoxy acetyl is a  $-\text{CO}-\text{CH}_2-\text{O}-$   
lower alkyl. Moreover, a benzoyl is a benzene with an  
attached carbonyl.

As used herein an adamantoyl is tricyclohexyl  
carbonyl of the formula:

30



35

1           Moreover, a crotonyl is a  $-\text{CO}-\text{CH}=\text{CH}-\text{CH}_3$  and a  
4-alkoxycrotonyl group is a  $-\text{CO}-\text{CH}=\text{CH}-\text{CH}_2-\text{lower alkyl}$ .

          Preferred A groups are lower alkyl sulfonyl,  
lower alkyl sulfinyl, lower carbalkyl sulfonyl, lower  
5 carbalkyl sulfinyl, aryl sulfonyl, aryl sulfinyl,  
adamantoyl, crotonyl or 4-alkoxycrotonyl groups, wherein  
such a lower alkyl, lower carbalkyl, aryl, adamantoyl or  
crotonyl can be substituted with up to three lower  
alkyl, halo, amino, ammonio or nitro groups.

10           More preferred A groups are a lower alkyl  
sulfinyl, aryl sulfinyl, adamantoyl, crotonyl or 4-  
alkoxycrotonyl group, wherein such a lower alkyl, aryl,  
adamantoyl or crotonyl can be substituted with up to  
three lower alkyl, halo, amino, ammonio or nitro groups.

15           Preferred lower alkyl sulfinyls include methyl  
sulfinyl (i.e. mesylate), ethyl sulfinyl, propyl  
sulfinyl, isopropyl sulfinyl, butyl sulfinyl, isobutyl  
sulfinyl, t-butyl sulfinyl, pentyl sulfinyl, hexyl  
sulfinyl and the like which are substituted with one  
20 ammonio or up to three lower alkyl or halo groups.  
Especially preferred lower alkyl sulfinyl include methyl  
sulfinyl (i.e. mesylate), ethyl sulfinyl, propyl  
sulfinyl and isopropyl sulfinyl which are substituted  
with three lower alkyl or halo groups, or ammonio-  
25 alkylsulfonyl (i.e. betylate). When a lower alkyl  
sulfinyl has one or more halo substituent the halo is  
preferably a fluoro.

          Preferred lower fluoroalkylsulfinyls include a  
trifluoromethylsulfinyl (i.e.  $-\text{SO}_2\text{CF}_3$  or triflate),  
30 nonafluorobutylsulfinyl (i.e.  $\text{SO}_2-\text{C}_4\text{F}_9$  or nonaflate) and  
2,2,2-trifluoroethyl-sulfonate (i.e. lower alkyl  $-\text{SO}_2-$   
 $\text{CH}_2-\text{CH}_2\text{CF}_3$  or tresylate).

-27-

- 1 2,2,2-trifluoroethyl-sulfonate (i.e. lower alkyl -SO<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>CF<sub>3</sub> or tresylate).

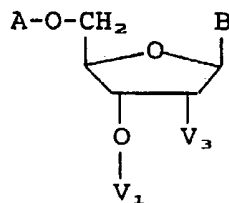
Moreover, preferred aryl sulfinyls include groups such as tolylsulfinyls (i.e. tosylates), and  
5 bromophenylsulfinyls (i.e. brosylates), nitrophenylsulfinyls (i.e. nosylates) and the like. An especially preferred A group is a lower fluoroalkyl-sulfinyl. The most preferred A group is trifluoromethylsulfinyl, i.e. triflate.

- 10 As used herein A, when free from the 5'-O-activated nucleotide is negatively charged and has an attached oxygen atom, i.e. A-O<sup>-</sup>. Accordingly the present invention contemplates providing A-O<sup>-</sup> as a salt. Such A-O<sup>-</sup> salts include the negatively charged A-O<sup>-</sup>  
15 group associated with a cation. Preferred cations are transition metals such as Mn, Co, Ni, Cu, Zn, Mo, Ag, Pt, Au and the like. A preferred cation is Ag.

The A-O<sup>-</sup> salts of the present invention are either commercially available or are synthesized by  
20 available procedures.

According to the present invention, Rp stereoisomeric alkyl- or aryl-phosphonate linkages between any two nucleotides are formed by reacting a 5'-O-activated nucleotide of the formula:

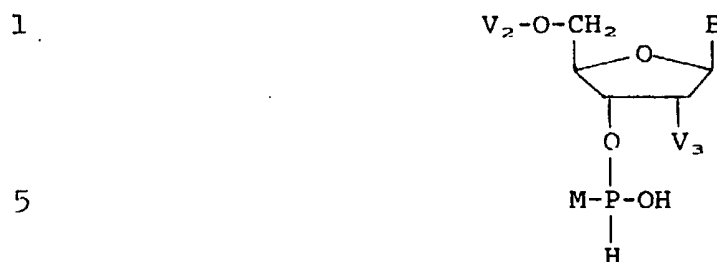
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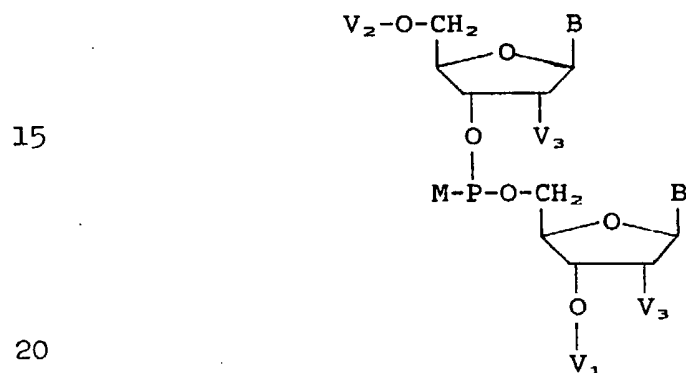
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-28-



under conditions sufficient to produce an Sp  
 stereoisomeric alkyl- or aryl-phosphonate linkage of the  
 10 formula:



wherein:

intermediate has Sp phosphorus stereoisomeric  
 configuration;

25  $V_1$  is a protecting group, solid support or  
 phosphate present on the penultimate nucleotide of the  
 oligonucleotide;

$V_2$  is a protecting group;

30  $V_3$  is a hydrogen or  $O-Y_3$ , wherein  $Y_3$  is a  
 lower alkyl or a protecting group; and

M, B and A are as described hereinabove.

35

1           As used herein conditions sufficient to  
produce an Sp stereoisomeric alkyl- or aryl-phosphonate  
linkage include a time, a temperature, solvent or  
reactant concentration sufficient for nucleophilic  
5 displacement of the 5'-activated oxygen by a phosphate  
oxygen on the intermediate. Therefore, A-O<sup>-</sup> is lost and  
a covalent bond is formed between the 5' carbon and  
phosphinate oxygen present on the intermediate.

A time sufficient for nucleophilic  
10 displacement is about 10 sec to about 1 hr and  
preferably about 1 min to about 10 min.

Moreover a temperature sufficient for  
nucleophilic displacement is about 4°C to about 50°C and  
preferably about 20°C to about 25°C.

15           A solvent which is used by the present  
invention for nucleophilic displacement is an anhydrous  
solvent and is preferably a nonpolar or nonpolar aprotic  
solvent such as tetrahydrofuran, dimethylsulfoxide,  
pyridine, dimethylformamide, acetonitrile and the like.

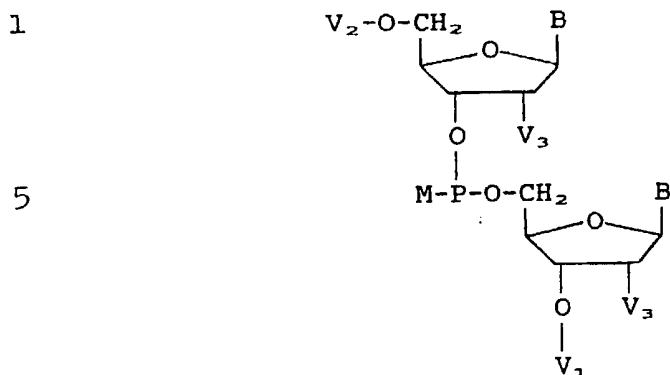
20           Furthermore a reactant concentration  
sufficient for nucleophilic displacement is a molar  
ratio of 5'-O-activated nucleotide to intermediate  
ranging from 1:100 to about 1:1. A preferred molar  
ratio is about 1:10.

25           Furthermore the present methods are directed  
to inverting the configuration of the Sp stereoisomeric  
alkyl- or aryl-phosphonate linkage depicted below:

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-30-



by reacting the linkage with an oxidizing agent under conditions sufficient to produce the Rp stereoisomeric alkyl- or aryl-phosphonate linkage.

According to the present invention, conditions sufficient to produce such a Rp stereoisomeric alkyl- or aryl-phosphonate linkage include a time, a solvent, a temperature and an oxidizing agent concentration sufficient for oxidation, and inversion of the Sp configuration of such a Sp stereoisomeric alkyl- or aryl-phosphonate.

As used herein a time sufficient for such oxidation and inversion of the Sp linkage is about 1 min to about 60 min and preferably about 5 min.

Moreover a solvent sufficient for oxidation and inversion is an aqueous solvent, preferably water.

A temperature for oxidation and inversion of the Sp linkage is about 4°C to about 50°C and preferably about 20°C to about 25°C.

Furthermore an oxidizing agent concentration sufficient for oxidation and inversion of the Sp linkage is a molar ratio of oxidizing agent to Sp linkage of about 100:1 to about 1:1. Preferably such a molar ratio



1 of oxidizing agent to Sp linkage is about 10:1 to about  
1:1. An especially preferred molar ratio is about 2:1.

Oxidizing agents for preparation of the  
present Rp stereoisomeric alkyl- or aryl-phosphonate  
5 linkages include any agent capable of forming a  
phosphonate (O-P[M]O-O) from a phosphinate (O-PM-O).  
The oxidizing agents contemplated by the present  
invention are mild oxidizing agents which will not  
oxidize any of the B groups substituents, such as a  
10 halogen, peracid, peralkanoic acid, e.g., peracetic  
acid, ozone, hydrogen peroxide, and the like. Preferred  
oxidizing agents include but are not limited to  
halogens, e.g. I<sub>2</sub>/H<sub>2</sub>O.

In one especially preferred embodiment, the  
15 present methods are performed automatically in a nucleic  
acid synthesizer. The present methods have been  
designed for adaptation to automation by selecting  
reactions which can be performed under conditions  
typically used in nucleic acid synthesizers. For  
20 example, the temperatures, solvents and reagents  
contemplated herein are compatible with procedures and  
common protecting agents employed during automated  
nucleic acid synthesis (see Uhlmann et al. 1990 for a  
review of such procedures). Accordingly, adaptation of  
25 the present methods to automation is readily  
accomplished by one of skill in the art.

In another embodiment, the present invention  
is directed to an alkyl- or aryl-phosphinate nucleotide  
intermediate which has an Sp stereoisomeric  
30 configuration at the phosphorus. This intermediate is  
of the formula:

-32-



wherein  $V_2$ , B,  $V_3$  and M are as defined hereinabove.

Preferred B groups for the present  
 10 intermediates include pyrimidines and purines with 1-2  
 amino, oxo, hydroxy lower alkyl, lower alkoxy, lower  
 alkylamine, phenyl or lower alkyl substituted phenyl  
 groups. In a more preferred embodiment, the alkyl- or  
 15 aryl-phosphinate nucleotide intermediate has a B group  
 selected from the group of guanine, adenine, thymine,  
 cytosine or uracil.

Moreover, the intermediate preferably has an M  
 group which is lower alkyl or aryl. An especially  
 preferred M group on the intermediate is methyl or  
 20 ethyl.

As used herein,  $V_3$  is preferably hydrogen.  
 However,  $V_3$  can also be  $O-Y_3$ , in which case  $Y_3$  is  
 preferably a protecting group.

Furthermore, the intermediate preferably has  
 25 dimethoxytrityl or monomethoxytrityl  $V_2$  or  $Y_3$  protecting  
 groups.

The intermediate is formed by hydrating a  
 racemic phosphono-nucleotide of the formula:

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-33-



in the presence of an effective amount of a hydrating catalyst under conditions sufficient for forming a racemic phosphinate nucleotide;

10 wherein:

$V_2$ , B, M, and  $V_3$  are as described hereinabove;  
Z is -S- or -NR<sub>2</sub>; and

$R_1$  and  $R_2$  are independently lower alkyl, lower alkenyl, or  $R_1$  and  $R_2$  are taken together with the  
15 nitrogen to which they are attached to form a 5 or 6 membered heterocyclic or heteroaromatic ring.

According to the present invention Z is -S- or -NR<sub>2</sub>, however, -NR<sub>2</sub> is a preferred Z group. Therefore, in a preferred embodiment the racemic phosphono-  
20 nucleotide is a phosphonoamidite wherein both  $R_1$  and  $R_2$  are present, i.e. as -NR<sub>2</sub>R<sub>1</sub>. Moreover, as used herein  $R_1$  and  $R_2$  are independently lower alkyl, lower alkenyl, or  $R_1$  and  $R_2$  are taken together with the nitrogen to which they are attached to form a 5 or 6 membered  
25 heterocyclic or heteroaromatic ring.

While the  $R_1$  and  $R_2$  lower alkyl and lower alkenyl groups can have 1-6 carbons, preferred  $R_1$  and  $R_2$  groups have at least two carbon atoms and more preferably have at least three carbon atoms.

30 Accordingly preferred  $R_1$  and  $R_2$  lower alkyl groups are ethyl, propyl, isopropyl, n-butyl, sec-butyl, isobutyl, tert-butyl, pentyl, isopentyl, hexyl and the like.

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-34-

1 Similarly, preferred lower alkenyl groups have 2-6  
carbon atoms, and additionally have 1-3 carbon-carbon  
double bonds. Moreover, the lower alkyl and alkenyl  
groups of the present invention are preferably branched,  
5 e.g. isopropyl, isobutyl, sec-butyl, tert-butyl,  
isopentyl, neopentyl and the like. An especially  
preferred  $R_1$  or  $R_2$  lower alkyl is isopropyl.

Moreover according to the present invention,  
 $R_1$  and  $R_2$  can be taken together with the nitrogen to  
10 which they are attached to form a 5 or 6 membered  
heterocyclic ring. As used herein, a heterocyclic ring  
includes saturated, partially saturated and  
heteroaromatic rings. Moreover, heterocyclic groups of  
the present invention are either monocyclic or bicyclic  
15 with at least one ring nitrogen heteroatom and 5 to 10  
ring atoms. Heterocyclic rings can also have at least  
one other nitrogen, sulfur or oxygen ring atom. More  
preferred heterocyclic rings have 1-3 nitrogen ring  
atoms and can also have 1 oxygen ring atom. Especially  
20 preferred heterocyclic rings are monocyclic with 5 or 6  
ring atoms and one nitrogen heteroatom.

$R_1$  and  $R_2$  heterocyclic rings, as contemplated  
by the present invention, include piperidine,  
morpholine, piperazine, pyrrole, pyrrolidine,  
25 isopyrrole, pyrazole, imidazole, isoimidazole, triazole,  
oxazole, isoxazole, thiazole, isothiazole, oxadiazole,  
tetrazole, pyrazine, pyridazine, pyrimidine, pyridine,  
oxazine, isoxazine, oxadiazine, imidazole, indole,  
pyridine, quinoline, isoquinoline, pyridopyridine,  
30 purine and the like.

Preferred  $R_1$  and  $R_2$  heterocyclic and  
heteroaromatic rings include piperidine, morpholine,

-35-

- 1 imidazole, pyrrole, pyrrolidine, pyridine, pyrimidine, triazole, tetrazole, indole, pyridopyridine rings and the like. More preferred R<sub>1</sub> and R<sub>2</sub> heterocyclic rings are piperidine, morpholine, pyrrolidine, imidazole, 5 imidazolidine, pyrrole, pyridine, pyrimidine, triazole and tetrazole. Especially preferred heterocyclic rings are piperidine, morpholine, pyrrolidine, imidazole or triazole.

- Moreover, as used herein a catalyst for 10 hydrating the phosphono-nucleotide is a heterocyclic ring which can displace the Z group and subsequently be replaced by a water OH. Such a catalyst heterocyclic ring, preferably has up to 4 nitrogen ring heteroatoms and can also have up to three lower alkyl substituents. 15 According to the present invention, catalyst heterocyclic rings include pyrazole, imidazole, isoimidazole, triazole, oxadiazole, pyridazine, pyrimidine, pyrazine, piperazine, triazine, tetrazole and the like which can have up to three lower alkyl 20 substituents. When an alkyl substituent is present, such an alkyl is preferably present on a nitrogen heteroatom. Preferred hydration catalysts are N-heterocyclic rings which can have up to two lower alkyl substituents. Such preferred hydration catalysts 25 include tetrazole, triazole, N-alkyl imidazole and the like. An especially preferred hydration catalyst is tetrazole.

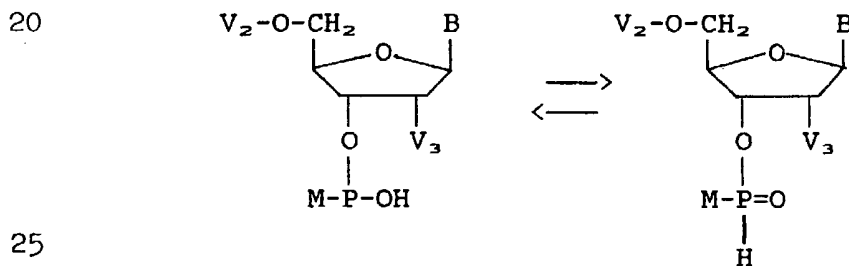
- Conditions sufficient for forming a racemic phosphinate nucleotide from the racemic phosphono- 30 nucleotide include a time, temperature, solvent and hydrating catalyst concentration sufficient for displacement of Z by a water OH.

1 A time for hydration is about 1 sec to about 10 min, or preferably is about 1 min.

A preferred temperature for hydration of a phosphononucleotide is about 4°C to about 42°C. A more  
5 preferred temperature is about room temperature, i.e. about 20°C to about 25°C.

A solvent for hydration is preferably water, and a hydration catalyst concentration is a molar ratio of catalyst to phosphono-nucleotide of about 20:1 to  
10 about 1:1. A preferred ratio is about 10:1 to about 2:1 and a more preferred ratio is about 5:1.

Hydration of the phosphono-nucleotide generates the intermediate which can tautomerize between two forms. According to the present invention,  
15 tautomerization does not alter the stereoisomeric configuration of the phosphorus. The two tautomeric forms of the intermediate are of the formula:



wherein:

$V_2$ , B, M, and  $V_3$  are as described hereinabove.

The phosphono-nucleotide has a racemic  
30 phosphorus which remains racemic during hydration. However, according to the present invention, the Rp and Sp stereoisomers of the intermediate are stable and can

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-37-

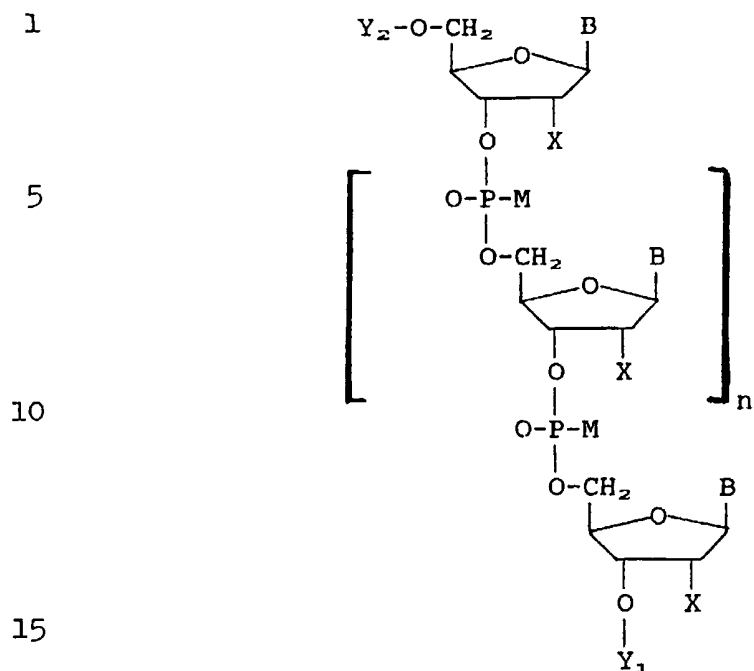
1 be chromatographically separated. Separation of Rp and  
Sp stereoisomers of phosphonate nucleotides is known  
(Miller et al. 1979 Biochemistry 18: 5134; and Lebedev,  
et al. 1990c Tetrahedron Letters 31: 3673-3676). Any  
5 type of chromatographic medium useful for stereoisomeric  
separation is contemplated by this invention, including  
high pressure liquid chromatography (HPLC) and non-HPLC  
chromatographic procedures. Moreover, stereoisomers of  
the present phosphonate nucleotides can be separated by  
10 both reversed phase and normal phase chromatography  
(Lebedev, et al. 1990a Tetrahedron Letters 31: 851-854;  
and Lebedev, et al. 1990c). In a preferred embodiment,  
the Rp and Sp stereoisomers are separated by either  
normal or reversed phase HPLC using a silica gel, or C<sub>18</sub>  
15 gel matrix. When using normal phase HPLC the silica gel  
can be pre-treated with base, for example a  
trialkylamine such as triethylamine. The stereoisomers  
are then eluted by using a small amount of a polar  
solvent, e.g. ethanol, in a non-polar solvent, e.g.  
20 chloroform. When using reverse phase HPLC the  
stereoisomers can be separately eluted from silica gel  
by using a small amount of non-polar solvent, e.g.  
acetonitrile, in a polar solvent, e.g. water.

In another embodiment, the present invention  
25 is directed to a compartmentalized kit for producing a  
polynucleotide chain of an oligonucleotide having at  
least five sequential R-alkyl-phosphonate or R-aryl-  
phosphonate linkages, wherein the oligonucleotide has  
the formula:

30

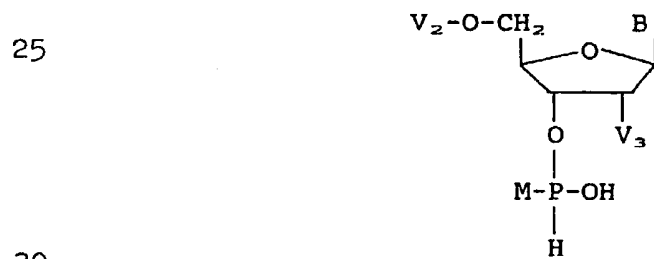
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-38-



wherein  $Y_1$ ,  $Y_2$ ,  $X$ ,  $M$  and  $B$  are defined as hereinabove;  
and  $n$  is 4-200. Such a kit can include:

- 20 (a) a first container adapted to contain a salt of an  $A-O^-$  activator; and
- (b) a second container adapted to contain a first alkyl- or aryl-phosphinate nucleotide intermediate of the formula:



wherein  $V_2$ ,  $B$ ,  $V_3$  and  $M$  are as defined hereinabove.

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-39-

1           Moreover, the kit can be further adapted to  
contain at least one additional container containing a  
second alkyl- or aryl-phosphinate nucleotide  
intermediate, wherein the second intermediate has an Sp  
5 stereoisomeric phosphorus configuration and a different  
B group than the first intermediate.

In a preferred embodiment, the first or second  
alkyl- or aryl- phosphinate nucleotide intermediate  
provided in the kit has a B group selected from the  
10 group of guanine, adenine, thymine, cytosine or uracil.

Moreover, when an intermediate is provided in  
a kit the M group thereupon is preferably lower alkyl or  
aryl. A more preferred M group is methyl or ethyl.

In addition, a preferred V<sub>2</sub> or Y<sub>3</sub> protecting  
15 group for an intermediate provided in a kit of the  
present invention is dimethoxytrityl or  
monomethoxytrityl.

Furthermore, the present kits preferably have  
salts of the preferred activator A-O<sup>-</sup>, described  
20 hereinabove, e.g. a salt of fluoroalkylsulfonate.  
Preferred salts of fluoroalkylsulfonates are silver  
salts of trifluoromethylsulfonate, nonafluorobutyl-  
sulfonate or 2,2,2-trifluoroethylsulfonate. An  
especially preferred salt of A-O<sup>-</sup> is silver  
25 trifluoromethylsulfonate.

In a more preferred embodiment the kit  
provides a first container containing a salt of an A-O<sup>-</sup>,  
a second container containing a salt of alkyl- or aryl-  
phosphonothioate guanine, a third container containing a  
30 salt of alkyl- or aryl-phosphonothioate adenine, a  
fourth container containing a salt of alkyl- or aryl-  
phosphonothioate cytosine, a fifth container containing

1 a salt of alkyl- or aryl-phosphonothioate thymine and  
optionally a sixth container containing a salt of alkyl-  
or aryl-phosphonothioate uracil(?).

5 As used herein, salts of the present alkyl- or  
aryl-phosphonothioate nucleotide intermediate are alkali  
metal or alkaline earth metal salts, for example Li, Na,  
K, Mg, Ca, and the like. Preferred salts are alkali  
metal salts, e.g., Li, Na, and K. Especially preferred  
salts are Li salts.

10 After synthesis by the present methods an  
oligonucleotide can be purified by polyacrylamide gel  
electrophoresis, or by any of a number of  
chromatographic methods, including gel chromatography  
and high pressure liquid chromatography.

15 In a preferred embodiment the present  
invention is directed to an oligonucleotide having at  
least five sequential Rp stereospecific alkyl- or aryl-  
phosphonate linkages produced by the present methods.

20 While the oligonucleotides prepared by the  
present methods can have as little as five sequential Rp  
stereospecific alkyl- or aryl-phosphonate linkages,  
preferred oligonucleotides have more than five Rp  
stereospecific linkage. For example, oligonucleotides  
synthesized by the methods of the present invention  
25 generally have about 8 to about 200 alkyl- or aryl-  
phosphonate linkages. Preferred oligonucleotides of the  
present invention have about 10 to about 200 alkyl- or  
aryl-phosphonate linkages. More preferred  
oligonucleotides have about 12 to about 200 alkyl- or  
30 aryl-phosphonate linkages. Especially preferred  
oligonucleotides of the present invention have about 14  
to about 200 alkyl- or aryl-phosphonate linkages.

-41-

1           According to the present invention, the  
subject methods produce Rp stereospecific linkages at a  
higher frequency than Sp stereospecific linkages.  
However, not all of the alkyl- or aryl-phosphonate  
5 linkages produced by the present methods may be Rp  
stereospecific. Therefore, Sp stereospecific linkages  
can occasionally be produced, for example, if the  
preparation of alkyl- or aryl-phosphonothioate  
nucleotide precursors employed have a small percentage  
10 of Rp stereoisomeric nucleotide contaminants.  
Accordingly, the present invention is directed to  
methods of producing a higher percentage of Rp  
stereospecific alkyl- and aryl-phosphonate linkages than  
Sp stereospecific alkyl- and aryl-phosphonate linkages.

15           In particular the present methods can produce  
at least about 75% Rp stereospecific linkages in an  
oligonucleotide wherein the remaining linkages can be Sp  
stereospecific. More particularly, the oligonucleotides  
generated by the present methods have about 85% to about  
20 100% Rp stereospecific linkages. However, the present  
methods have the capability for producing  
oligonucleotides having about 95% to 100% Rp  
stereospecific alkyl- or aryl-phosphonate linkages.

25           Moreover, the oligonucleotides of the present  
invention need not have only alkyl- or aryl-phosphonate  
linkages. In some instances oligonucleotides having a  
mixture of conventional phosphodiester linkages (-O-PO<sub>2</sub>-

30

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-42-

1 O) and phosphonate (-O-PO-O-) linkages are preferred.



For example, conventional phosphodiester linkages may be incorporated into the present oligonucleotides to  
5 generate an endonuclease cleavage site or to render the oligonucleotide sensitive to normal cellular enzymes at a particular sequence within the oligonucleotide. If the subject oligonucleotides have conventional phosphodiester linkages these oligonucleotides can have  
10 about 1 to about 50 conventional phosphodiester linkages.

Therefore, the present invention is directed to oligonucleotides which can have conventional phosphodiester linkages, as well as both Sp  
15 stereospecific and Rp stereospecific phosphonate linkages, so long as the oligonucleotide has at least five, and preferably eight to fourteen, sequential Rp stereospecific alkyl- or aryl-phosphonate linkages generated by the present methods.

20 In a preferred embodiment, the oligonucleotides produced by the present methods have B groups which include pyrimidines and purines with 1-2 amino, oxo, hydroxy, lower alkyl, lower alkoxy, lower alkylamine, phenyl or lower alkyl substituted phenyl  
25 groups. Moreover, preferably, B is selected from the group of guanine, adenine, thymine, cytosine or uracil.

Moreover, the present oligonucleotides preferably have M as lower alkyl or aryl. A more preferred M group is methyl or ethyl.

30 The preferred Y<sub>1</sub> and Y<sub>2</sub> groups for the present oligonucleotides are hydrogen, phosphate or phosphate attached to the oligonucleotide. Preferred X groups of

35

-43-

1 the present oligonucleotides are hydroxy and  $V_3$ , wherein  
2  $V_3$  is hydrogen.

3 Moreover, according to the present invention,  
4 Rp stereospecific oligonucleotide products derived from  
5 the subject synthetic methods can have an attached agent  
6 to facilitate cellular delivery or uptake. Such an  
7 agent can, for example, be any known moiety which  
8 enhances cellular membrane penetration by the  
9 oligonucleotide, any known ligand for a cell-specific  
10 receptor or any available antibody reactive with a cell-  
11 specific antigen.

12 A moiety or ligand which enhances cellular  
13 membrane penetration by the oligonucleotide can include,  
14 for example, any non-polar group, steroid, hormone,  
15 polycation, protein carrier, or viral or bacterial  
16 protein capable of cell membrane penetration. Such a  
17 non-polar group can be a phenyl, naphthyl, quinoline,  
18 anthracene, phenanthracene, fatty acid, fatty alcohol,  
19 sesquiterpene, diterpene and related groups. Steroids  
20 which can enhance cell uptake include cholesterol,  
21 progesterone, estrogen, androgen and related steroids.  
22 For example, covalent linkage of a cholesterol moiety to  
23 an oligonucleotide can improve cellular uptake by 5- to  
24 10- fold which in turn improves DNA binding by about 10-  
25 fold (Boutorin et al., 1989, FEBS Letters 254: 129-  
26 132). Hormones such as insulin can also bind to cell  
27 membranes and facilitate entry of an oligonucleotide  
28 thereto into the cell. Polycations, e.g. polyamino acid  
29 cations, including cations of basic amino acids, such as  
30 poly-L-lysine, can also facilitate uptake of  
31 oligonucleotides into cells (Schell, 1974, Biochem.  
32 Biophys. Acta 340: 323, and Lemaitre et al., 1987,

-44-

1 Proc. Natl. Acad. Sci. USA 84: 648). Certain protein  
carriers can also facilitate cellular uptake of  
oligonucleotides, including, for example, serum albumin,  
transferrin, nuclear proteins possessing signals for  
5 transport to the nucleus, and viral or bacterial  
proteins capable of cell membrane penetration.  
Accordingly, the present invention contemplates  
derivatization of the subject oligonucleotides with the  
above-identified groups to increase oligonucleotide  
10 cellular uptake.

Moreover, the present invention contemplates  
the preparation of Rp stereospecific linkages in  
oligonucleotides having any nucleotide sequence. In  
many instances the selection of a nucleotide sequence  
15 depends upon the intended purpose of the  
oligonucleotide, for example the nucleotide sequence can  
be selected for the purpose of binding to a nucleic acid  
target. Such a nucleic acid target can be present  
within a template nucleic acid which encodes a DNA, RNA  
20 or protein. Moreover, binding of the subject  
oligonucleotides can be used, for example, to detect or  
to regulate the biosynthesis of such a template nucleic  
acid.

The present invention contemplates a variety  
25 of utilities for the subject Rp stereospecific  
oligonucleotides. Some utilities include, but are not  
limited to: use of oligonucleotides of defined sequence  
bound to a solid support for affinity isolation of  
complementary nucleic acids; covalent attachment of a  
30 drug, drug analog or other therapeutic agent to the  
oligonucleotide to allow cell-type specific drug  
delivery; labeling the subject oligonucleotides with a

-45-

- 1 detectable reporter molecule for localizing,  
quantitating or identifying complementary target nucleic  
acids; and binding oligonucleotides to a cellular or  
viral nucleic acid template and regulating biosynthesis  
5 directed by that template.

The subject oligonucleotides can be attached  
to a solid support such as silica, cellulose, nylon,  
polystyrene, polyethylene glycol, Sepharose 4B<sup>®</sup> and  
other natural or synthetic materials that are used to  
10 make beads, filters, and column chromatography resins.  
Attachment procedures for nucleic acids to solid  
supports of these types are well known; any known  
attachment procedure is contemplated by the present  
invention. An oligonucleotide attached to a solid  
15 support can then be used to isolate a complementary  
nucleic acid. Isolation of the complementary nucleic  
acid can be done by incorporating the  
oligonucleotide:solid support into a column for  
chromatographic procedures. Other isolation methods can  
20 be done without incorporation of the  
oligonucleotide:solid support into a column, e.g. by  
utilization of filtration procedures.  
Oligonucleotide:solid supports can be used, for example,  
to isolate poly(A)<sup>+</sup> mRNA from total cellular or viral  
25 RNA by making an Rp alkyl- or aryl-phosphonate  
oligonucleotide with only poly(dT) or poly(U) B groups.  
The present Rp alkyl- and aryl-phosphonate  
oligonucleotides are ideally suited to applications of  
this type because they are nuclease resistant and bind  
30 strongly to target nucleic acids.

The present invention also contemplates using  
the subject oligonucleotides for targeting drugs to

-46-

1 specific cell types. Such targeting can allow selective  
destruction or enhancement of particular cell types,  
e.g. inhibition of tumor cell growth can be attained.  
Different cell types express different genes, so that  
5 the concentration of a particular mRNA can be greater in  
one cell type relative to another cell type, such an  
mRNA is a target mRNA for cell type specific drug  
delivery by oligonucleotides linked to drugs or drug  
analogs. Cells with high concentrations of target mRNA  
10 are targeted for drug delivery by administering to the  
cell an oligonucleotide with a covalently linked drug  
that is complementary to the target mRNA.

The present invention also contemplates  
labeling the subject oligonucleotides for use as probes  
15 to detect a target nucleic acid. Labelled  
oligonucleotide probes have utility in diagnostic and  
analytical hybridization procedures for localizing,  
quantitating or detecting a target nucleic acid in  
tissues, chromosomes or in mixtures of nucleic acids.  
20 Oligonucleotide probes of this invention represent a  
substantial improvement over conventional nucleic acid  
probes for such procedures because the present Rp  
stereospecific linkages provide oligonucleotides with  
increased binding stability.

25 Labeling an oligonucleotide can be done by  
incorporating nucleotides linked to a "reporter  
molecule" into the subject oligonucleotides. A  
"reporter molecule", as defined herein, is a molecule or  
atom which, by its chemical nature, provides an  
30 identifiable signal allowing detection of the  
oligonucleotide. Detection can be either qualitative or  
quantitative. The present invention contemplates using



-47-

1 any commonly used reporter molecule including  
radionuclides, enzymes, biotins, psoralens,  
fluorophores, chelated heavy metals, and luciferin. The  
most commonly used reporter molecules are either  
5 enzymes, fluorophores or radionuclides which can be  
linked to nucleotides either before or after  
oligonucleotide synthesis. Preferably, the reporter  
molecule is added after oligonucleotide synthesis, for  
example, by forming a covalent linkage between a 3'- or  
10 5'-terminal hydroxy or phosphate and a phosphate,  
nitrogen, sulfur or oxygen atom on the reporter  
molecule.

Commonly used enzymes include horseradish  
peroxidase, alkaline phosphatase, glucose oxidase and  $\beta$ -  
15 galactosidase, among others. The substrates to be used  
with the specific enzymes are generally chosen because a  
detectably colored product is formed by the enzyme  
acting upon the substrate. For example, p-nitrophenyl  
phosphate is suitable for use with alkaline phosphatase  
20 conjugates; for horseradish peroxidase, 1,2-  
phenylenediamine, 5-aminosalicylic acid or toluidine  
are commonly used.

The probes so generated have utility in the  
detection of a specific DNA or RNA target in, for  
25 example, Southern analysis, Northern analysis, in situ  
hybridization to tissue sections or chromosomal squashes  
and other analytical and diagnostic procedures. Methods  
of using such hybridization probes are well known and  
examples of such methodology are provided by Sambrook  
30 et al. (1989, Molecular Cloning: A Laboratory Manual,  
Vols. 1-3, Cold Spring Harbor Press, NY).

-48-

1           The present oligonucleotides can be used in  
conjunction with any known detection or diagnostic  
procedure which is based upon hybridization of a probe  
to a target nucleic acid. Moreover, the present  
5 oligonucleotides can be used in any hybridization  
procedure which quantitates a target nucleic acid, e.g.,  
by competitive hybridization between a target nucleic  
acid present in a sample and a labeled tracer target for  
one of the present oligonucleotides. Furthermore, the  
10 reagents needed for making a oligonucleotide probe and  
for utilizing such a probe in a hybridization procedure  
can be marketed in a kit.

          The kit for detection of a hybridized  
oligonucleotide probe of the present invention can be  
15 compartmentalized for ease of utility and can contain at  
least one first container providing an oligonucleotide  
of the present invention. The kit can also be adapted  
to contain at least one other container providing  
reagents for labeling the oligonucleotide with a  
20 reporter molecule. Moreover, the kit can be further  
adapted to contain at least one other container  
providing reagents for detecting the reporter molecule  
linked to the oligonucleotide.

          Moreover the present invention provides a kit  
25 for isolation of a template nucleic acid. Such a kit  
has at least one first container providing one of the  
present oligonucleotides which is complementary to a  
target contained within the template. For example, the  
template nucleic acid can be cellular and/or viral  
30 poly(A)<sup>+</sup> mRNA and the target can be the poly(A)<sup>+</sup> tail.  
Hence oligonucleotides of the present invention which

1 have utility for isolation of poly(A)+ mRNA have a  
nucleotide sequence of poly(dT) or poly(U).

Furthermore, the present invention provides  
5 kits useful when diagnosis of a disease depends upon  
detection of a specific, known target nucleic acid.  
Such nucleic acid targets can be, for example, a viral  
nucleic acid, an extra or missing chromosome or gene, a  
mutant cellular gene or chromosome, an aberrantly  
10 expressed RNA and others. Examples of such target  
nucleic acids contemplated by the present invention are  
provided hereinbelow.

These diagnostic kits can be compartmentalized  
to contain at least one first container providing a  
oligonucleotide linked to a reporter molecule and can  
15 contain at least one second container providing reagents  
for detection of the reporter molecule.

One aspect of the present invention provides a  
method of regulating biosynthesis of a DNA, an RNA or a  
protein by contacting at least one of the subject  
20 oligonucleotides with a nucleic acid template for that  
DNA, that RNA or that protein in an amount and under  
conditions sufficient to permit the binding of the  
oligonucleotide(s) to a target sequence contained in the  
template. The binding between the oligonucleotide(s)  
25 and the target can regulate biosynthesis of the nucleic  
acid or the protein, e.g. by blocking access to the  
template. When access to the template is blocked  
proteins and nucleic acids involved in the biosynthetic  
process are prevented from binding to the template, from  
30 moving along the template, or from recognizing signals  
encoded within the template.

-50-

1           As used herein, biosynthesis of a nucleic acid  
or a protein includes cellular and viral processes such  
as DNA replication, DNA reverse transcription, RNA  
transcription, RNA splicing, RNA polyadenylation, RNA  
5 translocation and protein translation, and related  
processes which can lead to production of DNA, RNA or  
protein, and involve a nucleic acid template at some  
stage of the biosynthetic process.

          As used herein, a nucleic acid template can be  
10 an RNA or a DNA template.

          As contemplated by the present invention,  
regulating biosynthesis includes inhibiting, stopping,  
increasing, accelerating or delaying biosynthesis.  
Regulation may be direct or indirect, i.e. biosynthesis  
15 of a DNA, RNA or protein may be regulated directly by  
binding a oligonucleotide to the template for that DNA,  
RNA or protein; alternatively, biosynthesis may be  
regulated indirectly by oligonucleotide binding to a  
second template encoding a protein that plays a role in  
20 regulating the biosynthesis of the first DNA, RNA or  
protein.

          DNA replication from a DNA template is  
mediated by proteins which bind to an origin of  
replication where they open the DNA and initiate DNA  
25 synthesis along the DNA template. To inhibit DNA  
replication in accordance with the present invention,  
oligonucleotides are selected which bind to one or more  
targets in an origin of replication. Such binding  
blocks template access to proteins involved in DNA  
30 replication. Therefore initiation and procession of DNA  
replication is inhibited. As an alternative method of  
inhibiting DNA replication, expression of the proteins

-51-

- 1 which mediate DNA replication can be inhibited at, for example, the transcriptional or translational level.

DNA replication from an RNA template is mediated by reverse transcriptase binding to a region of RNA also bound by a nucleic acid primer. To inhibit DNA replication from an RNA template, reverse transcriptase or primer binding can be blocked by binding a oligonucleotide to the primer binding site, and thereby blocking access to that site. Moreover, inhibition of DNA replication can occur by binding a oligonucleotide to a site residing in the RNA template since such binding can block access to that site and to downstream sites, i.e. sites on the 3' side of the target or binding site.

- 15 To initiate RNA transcription, RNA polymerase recognizes and binds to specific start sequences, or promoters, on a DNA template. Binding of RNA polymerase opens the DNA template. There are also additional transcriptional regulatory elements that play a role in transcription and are located on the DNA template. These transcriptional regulatory elements include enhancer sequences, upstream activating sequences, repressor binding sites and others. All such promoter and transcriptional regulatory elements, singly or in combination, are targets for the subject oligonucleotides. Oligonucleotide binding to these sites can block RNA polymerase and transcription factors from gaining access to the template and thereby regulating, e.g., increasing or decreasing, the production of RNA, especially mRNA and tRNA. Additionally, the subject oligonucleotides can be targeted to the coding region or 3'-untranslated region

1 of the DNA template to cause premature termination of  
transcription. One skilled in the art can readily  
design oligonucleotides for the above target sequences  
from the known sequence of these regulatory elements,  
5 from coding region sequences, and from consensus  
sequences.

RNA transcription can be increased by, for  
example, binding a oligonucleotide to a negative  
transcriptional regulatory element or by inhibiting  
10 biosynthesis of a protein that can repress  
transcription. Negative transcriptional regulatory  
elements include repressor sites or operator sites,  
wherein a repressor protein binds and blocks  
transcription. Oligonucleotide binding to repressor or  
15 operator sites can block access of repressor proteins to  
their binding sites and thereby increase transcription.

The primary RNA transcript made in eukaryotic  
cells, or pre-mRNA, is subject to a number of  
maturation processes before being translocated into the  
20 cytoplasm for protein translation. In the nucleus,  
introns are removed from the pre-mRNA in splicing  
reactions. The 5' end of the mRNA is modified to form  
the 5' cap structure, thereby stabilizing the mRNA.  
Various bases are also altered. The polyadenylation of  
25 the mRNA at the 3' end is thought to be linked with  
export from the nucleus. The subject oligonucleotides  
can be used to block any of these processes.

A pre-mRNA template is spliced in the nucleus  
by ribonucleoproteins which bind to splice junctions and  
30 intron branch point sequences in the pre-mRNA.  
Consensus sequences for 5' and 3' splice junctions and  
for the intron branch point are known. For example,

-53-

1 inhibition of ribonucleoprotein binding to the splice  
junctions or inhibition of covalent linkage of the 5'  
end of the intron to the intron branch point can block  
splicing. Maturation of a pre-mRNA template can,  
5 therefore, be blocked by preventing access to these  
sites, i.e. by binding oligonucleotides of this  
invention to a 5' splice junction, an intron branch  
point or a 3' splice junction. Splicing of a specific  
pre-mRNA template can be inhibited by using  
10 oligonucleotides with sequences that are complementary  
to the specific pre-mRNA splice junction(s) or intron  
branch point. In a further embodiment, a collection of  
related splicing of pre-mRNA templates can be inhibited  
by using a mixture of oligonucleotides having a variety  
15 of sequences that, taken together, are complementary to  
the desired group of splice junction and intron branch  
point sequences.

Polyadenylation involves recognition and  
cleavage of a pre-mRNA by a specific RNA endonuclease at  
20 specific polyadenylation sites, followed by addition of  
a poly(A) tail onto the 3' end of the pre-mRNA. Hence,  
any of these steps can be inhibited by binding the  
subject oligonucleotides to the appropriate site.

RNA translocation from the nucleus to the  
25 cytoplasm of eukaryotic cells appears to require a  
poly(A) tail. Thus, a oligonucleotide is designed in  
accordance with this invention to bind to the poly(A)  
tail and thereby inhibit RNA translocation. The  
sequence of such an oligonucleotide can consist of about  
30 10 to about 50 thymine residues, and preferably about 20  
thymine residues.

1 Protein biosynthesis begins with the binding  
of ribosomes to an mRNA template, followed by initiation  
and elongation of the amino acid chain via translational  
"reading" of the mRNA. Protein biosynthesis, or  
5 translation, can thus be blocked or inhibited by  
blocking access to the template using the subject  
oligonucleotides to bind to targets in the template  
mRNA. Such targets contemplated by this invention  
include the ribosome binding site the 5' mRNA cap site,  
10 the initiation codon, a site between a 5' mRNA cap site  
and the initiation codon and sites in the protein coding  
sequence. There are also classes of protein which share  
domains of nucleotide sequence homology. Thus,  
inhibition of protein biosynthesis for such a class can  
15 be accomplished by targeting the homologous protein  
domains (via the coding sequence) with the subject  
oligonucleotides.

Regulation of biosynthesis by any of the  
aforementioned procedures has utility for many  
20 applications. For example, genetic disorders can be  
corrected by inhibiting the production of mutant or  
over-produced proteins, or by increasing production of  
under-expressed proteins; the expression of genes  
encoding factors that regulate cell proliferation can be  
25 inhibited to control the spread of cancer; and virally  
encoded functions can be inhibited to combat viral  
infection.

Some types of genetic disorders that can be  
treated by the oligonucleotides of the present invention  
30 include Alzheimer's disease, some types of arthritis,  
sickle cell anemia, and types of cancer for which  
patients can be a genetically predisposed, as well as



1 other genetic disorders. Many types of viral infections  
can be treated by utilizing the oligonucleotides of the  
present invention, including infections caused by  
influenza, rhinovirus, human immunovirus, herpes  
5 simplex, papilloma virus, cytomegalovirus, Epstein-Barr  
virus, adenovirus, vesticular stomatitis virus,  
rotavirus and respiratory syncytial virus among others.  
According to the present invention, animal and plant  
viral infections may also be treated by administering  
10 the subject oligonucleotides.

Accordingly, template nucleic acids  
contemplated by the present invention include cellular  
oncogenes, genes having a role in Alzheimer's disease,  
genetic functions encoded by viruses such as those  
15 described above, and others. Such template nucleic  
acids include but are not limited to SEQ ID NO:1 to SEQ  
ID NO:98 which encode the following genetic functions:

	SEQ ID NO:1	human c-abl;
	SEQ ID NO:2	human c-bcl-2a;
20	SEQ ID NO:3	human c-bcl-2b;
	SEQ ID NO:4	human c-bcr-1;
	SEQ ID NO:5	human c-bcr-2;
	SEQ ID NO:6	human c-bcr-3;
	SEQ ID NO:7	human c-cbl;
25	SEQ ID NO:8	human c-erbB-2;
	SEQ ID NO:9	human c-ets-1;
	SEQ ID NO:10	human c-dbl;
	SEQ ID NO:11	human c-fgf;
	SEQ ID NO:12	human c-fgr-1;
30	SEQ ID NO:13	human c-fgr-2;
	SEQ ID NO:14	human c-fgr-3;
	SEQ ID NO:15	human c-fgr-4;

1           SEQ ID NO:16 human c-fgr-5;  
            SEQ ID NO:17 human c-fgr-6;  
            SEQ ID NO:18 human c-fgr-7;  
            SEQ ID NO:19 human c-fms;  
5           SEQ ID NO:20 human c-fos;  
            SEQ ID NO:21 human c-has/bas;  
            SEQ ID NO:22 human c-int-1;  
            SEQ ID NO:23 human c-int-2;  
            SEQ ID NO:24 human c-jun;  
10          SEQ ID NO:25 human c-kit;  
            SEQ ID NO:26 human c-mas;  
            SEQ ID NO:27 human c-met;  
            SEQ ID NO:28 human c-myc;  
            SEQ ID NO:29 human c-Ki-ras1;  
15          SEQ ID NO:30 human N-ras-1;  
            SEQ ID NO:31 human N-ras-2;  
            SEQ ID NO:32 human N-ras-3;  
            SEQ ID NO:33 human N-ras-4;  
            SEQ ID NO:34 human c-ret;  
20          SEQ ID NO:35 human c-ros-1;  
            SEQ ID NO:36 human c-ros-2;  
            SEQ ID NO:37 human c-ros-3;  
            SEQ ID NO:38 human c-ros-4;  
            SEQ ID NO:39 human c-ros-5;  
25          SEQ ID NO:40 human c-ros-6;  
            SEQ ID NO:41 human c-ros-7;  
            SEQ ID NO:42 human c-ros-8;  
            SEQ ID NO:43 human c-ros-9;  
            SEQ ID NO:44 human c-ros-10;  
30          SEQ ID NO:45 human c-sec;  
            SEQ ID NO:46 human c-sis-1;  
            SEQ ID NO:47 human c-sis-2;

1           SEQ ID NO:48 human c-sis-3;  
            SEQ ID NO:49 human c-sis-4;  
            SEQ ID NO:50 human c-sis-5;  
            SEQ ID NO:51 human c-sis-a1;  
5           SEQ ID NO:52 human c-sis-a2;  
            SEQ ID NO:53 human c-sis-a3;  
            SEQ ID NO:54 human c-sis-a4;  
            SEQ ID NO:55 human c-sis-a5;  
            SEQ ID NO:56 human c-sis-a6;  
10          SEQ ID NO:57 human c-sis-a7;  
            SEQ ID NO:58 human c-sis-b1;  
            SEQ ID NO:59 human c-sis-b2;  
            SEQ ID NO:60 human c-sis-b3;  
            SEQ ID NO:61 human c-sis-b4;  
15          SEQ ID NO:62 human c-sis-b5;  
            SEQ ID NO:63 human c-snoA;  
            SEQ ID NO:64 human c-snoN;  
            SEQ ID NO:65 human c-spi-1;  
            SEQ ID NO:66 human c-src-1;  
20          SEQ ID NO:67 human c-src-2;  
            SEQ ID NO:68 human c-src-3;  
            SEQ ID NO:69 human c-src-4;  
            SEQ ID NO:70 human c-src-5;  
            SEQ ID NO:71 human c-src-6;  
25          SEQ ID NO:72 human c-src-7;  
            SEQ ID NO:73 human c-src-8;  
            SEQ ID NO:74 human c-src-9;  
            SEQ ID NO:75 human c-src-10;  
            SEQ ID NO:76 human c-src-11;  
30          SEQ ID NO:77 human c-syn;  
            SEQ ID NO:78 human c-trk;  
            SEQ ID NO:79 human c-vav;

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-58-

1           SEQ ID NO:80 human c-mos-OA;  
            SEQ ID NO:81 human GP5-mos;  
            SEQ ID NO:82 human c-yes-1;  
            SEQ ID NO:83 human c-yes-2;  
5           SEQ ID NO:84 human c-ski-1;  
            SEQ ID NO:85 human c-ski-2;  
            SEQ ID NO:86 human c-ski-3;  
            SEQ ID NO:87 human c-ski-4;  
            SEQ ID NO:88 human c-ski-5;  
10           SEQ ID NO:89 human c-myb-1;  
            SEQ ID NO:90 human c-myb-2;  
            SEQ ID NO:91 human c-myb-3;  
            SEQ ID NO:92 human c-myb-4;  
            SEQ ID NO:93 human c-rel.

15

Moreover, according to the present invention the subject oligonucleotides need have only sufficient complementarity to detectably bind to either strand of a target nucleic acid sequence, e.g. SEQ ID NO:1-98.

20

Complementarity between nucleic acids is the degree to which the bases in one nucleic acid strand can hydrogen bond, or base pair, with the bases in a second nucleic acid strand. Hence, complementarity can sometimes be conveniently described by the percentage, i.e. proportion, of nucleotides which form base pairs between two strands or within a specific region or domain of two strands. For the present invention sufficient complementarity means that a sufficient number of base pairs exists between the subject oligonucleotides and a target nucleic acid to achieve detectable binding of the oligonucleotide.

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1           Therefore a sufficient number, but not  
necessarily all, nucleotides in the present  
oligonucleotides can hydrogen bond to a target. The  
number of positions which are necessary to provide  
5   sufficient complementarity for binding of the subject  
oligonucleotides, can be detected by standard procedures  
including a melting temperature determination, standard  
Southern and Northern hybridization, light absorption  
detection, gel shift, DNA footprinting, alkylation  
10   interference and related procedures (as provided for  
example in Sambrook et al.). Moreover, according to  
the present invention oligonucleotide binding can be  
detected functionally, e.g. by observing a decrease in  
cellular or viral proliferation or by observing a  
15   decrease or increase in the synthesis of the DNA, RNA or  
protein encoded within or by a template nucleic acid.

          Accordingly the degree of complementarity  
between an oligonucleotide of the present invention and  
a strand of a target nucleic acid need not be 100% so  
20   long as oligonucleotide binding can be detected.  
However, it is preferred that the present  
oligonucleotides have at least about 50% complementarity  
with their target nucleic acids. In an especially  
preferred embodiment sufficient complementarity is  
25   greater than 70% complementarity with the target.

          Moreover, the degree of complementarity that  
provides detectable binding between the subject  
oligonucleotides and the target is dependent upon the  
conditions under which that binding occurs. It is well  
30   known that binding between nucleic acid strands depends  
on factors besides the degree of mismatch between two  
sequences. Such factors include the GC content of the

1 region, temperature, ionic strength, the presence of  
formamide and types of counter ions present. The effect  
that these conditions have upon binding is known to one  
skilled in the art. Furthermore, conditions are  
5 frequently determined by the circumstances of use. For  
example, when an oligonucleotide is made for use in  
vivo, no formamide will be present and the ionic  
strength, types of counter ions, and temperature  
correspond to physiological conditions. Binding  
10 conditions can be manipulated in vitro to optimize the  
utility of the present oligonucleotides. A thorough  
treatment of the qualitative and quantitative  
considerations involved in establishing binding  
conditions that allow one skilled in the art to design  
15 appropriate oligonucleotides for use under the desired  
conditions is provided by Beltz et al., 1983, Methods  
Enzymol. 100: 266-285 and by Sambrook et al.

Thus for the present invention, one of  
ordinary skill in the art can readily design a  
20 nucleotide sequence for the subject oligonucleotides  
which exhibits sufficient complementarity to detectably  
bind to the target nucleic acid of interest including  
nucleic acids having SEQ ID NO: 1-93. To confirm a  
nucleotide sequence, oligonucleotides may be subjected  
25 to DNA sequencing by any of the known procedures,  
including Maxam and Gilbert sequencing, Sanger  
sequencing, capillary electrophoresis sequencing, the  
wandring spot sequencing procedure or by using  
selective chemical degradation of oligonucleotides bound  
30 to Hybond paper. Sequences of oligonucleotides can also  
be analyzed by plasma desorption mass spectroscopy or by  
fast atom bombardment (McNeal, et al., 1982, J. Am.

-61-

1 Chem. Soc. 104: 976; Viari, et al., 1987, Biomed.  
Environ. Mass Spectrom. 14: 83; Grotjahn et al., 1982,  
Nuc. Acid Res. 10: 4671). Sequencing methods are also  
available for RNA oligonucleotides.

5 A further aspect of this invention provides  
pharmaceutical compositions containing the subject  
oligonucleotides with a pharmaceutically acceptable  
carrier. In particular, the present invention provides  
a pharmaceutical composition for regulating biosynthesis  
10 of a nucleic acid or protein comprising a biosynthesis  
regulating amount of the subject oligonucleotide with a  
pharmaceutically acceptable carrier.

As used herein a biosynthesis regulating  
amount of the subject oligonucleotides is about 0.1  $\mu$ g  
15 to about 100 mg per kg of body weight per day, and  
preferably of about 0.1  $\mu$ g to about 10 mg per kg of body  
weight per day. Dosages can be readily determined by  
one of ordinary skill in the art and formulated into the  
subject pharmaceutical compositions.

20 As used herein, "pharmaceutically acceptable  
carrier" includes any and all solvents, dispersion  
media, coatings, antibacterial and antifungal agents,  
isotonic and absorption delaying agents, and the like.  
The use of such media and agents for pharmaceutical  
25 active substances is well known in the art. Except  
insofar as any conventional media or agent is  
incompatible with the active ingredient, its use in the  
therapeutic compositions is contemplated. Supplementary  
active ingredients can also be incorporated into the  
30 compositions.

The subject oligonucleotides can be provided  
to a mammalian cell by topical or parenteral

- 1 administration, for example, by intravenous,  
intramuscular, intraperitoneal subcutaneous or  
intradermal route, or when suitably protected, the  
subject oligonucleotides can be orally administered.
- 5 The subject oligonucleotides may be incorporated into a  
cream, solution or suspension for topical  
administration. For oral administration,  
oligonucleotides may be protected by enclosure in a  
gelatin capsule. Oligonucleotides may be incorporated
- 10 into liposomes or liposomes modified with polyethylene  
glycol for parenteral administration. Incorporation of  
additional substances into the liposome, for example,  
antibodies reactive against membrane proteins found on  
specific target cells, can help target the
- 15 oligonucleotides to specific cell types.

Topical administration and parenteral  
administration in a liposomal carrier is preferred.

The following examples further illustrate the  
invention.

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EXAMPLE 1A METHOD FOR MAKING AN Rp STEREOISOMERIC  
ALKYLPHOSPHONATE LINKAGE

Reactions for producing an Rp-stereospecific  
5 linkage are depicted below in Reaction Scheme I. DMT is  
used for dimethoxytrityl in Reaction Scheme I.

A 3'-O-methylphosphonoamidite nucleotide (1)  
is obtained by known procedures (e.g. Agrawal et al.  
1987 Tetrahedron Letters 28: 3539-3542). In the first  
10 step, 1 mMole of 1 is hydrated with 5 mMole of tetrazole  
in 10 ml water for 1 min at room temperature, to produce  
a racemic methylphosphinate nucleotide (2Rp and 2Sp).  
The Rp and Sp stereoisomers of racemic 2 are stable and  
can be separated by chromatography on CH<sub>3</sub>COOH/methanol  
15 washed silica with CHCl<sub>3</sub>/methanol elution.

To produce an activated 5'-O-activated  
nucleotide triflate (3) which can be reacted with the Sp  
methylphosphinate intermediate (2Sp), the 5'-OH group of  
a nucleotide (4) was first replaced with an iodine.  
20 Subsequently, \_\_ mMole 5'-iodo-3'-acetyl nucleoside (5)  
was reacted with \_\_ mMole silver trifluoromethyl-  
sulfonate (6) for \_\_ min at room temperature (Reaction  
Scheme III). The resulting 5'-O-activated nucleotide  
triflate (3) can be purified by silica gel HPLC using  
25 toluene-acetonitrile (3:2) as an eluent. Storage of  
such an activated 5'-O-activated triflate of thymidine  
in dimethylsulfoxide for several weeks did not lead to  
significant decomposition, as measured by <sup>31</sup>P nuclear  
magnetic resonance (NMR). Before coupling, the 5'-O-  
30 activated triflate (3) is dried by evaporation from  
anhydrous acetonitrile.

-64-

1           The 5'-O-activated triflate (3) is then  
coupled to the 5' position of the methylphosphinate  
intermdiate (2Sp) without altering the Sp phosphorus  
configuration. This coupling reaction is performed  
5 under anhydrous conditions by placing \_\_ mMole 2Sp in 10  
ml acetonitrile and 1 ml triethylamine and then adding  
0.5 mMole of 3. The reaction is allowed to proceed at  
room temperature for 5 min and yields a dinucleotide (7)  
wherein the 5'-oxygen of the triflate (3) is displaced  
10 by an oxygen present on the phosphorus of the  
methylphosphinate (2Sp). The resulting Sp  
methylphosphonate dinucleotide (7) is then deprotonated  
to generate a trivalent methylphosphinate Sp linkage 8  
by addition of 1 ml triethylamine to 7 in 10 ml  
15 acetonitrile and incubation for 1 min at room  
temperature. The Sp stereoisomer of 9 is stable since a  
distinct  $^{31}\text{P}$  NMR peak corresponding to 9 was observed  
during NMR observation of the coupling reaction.

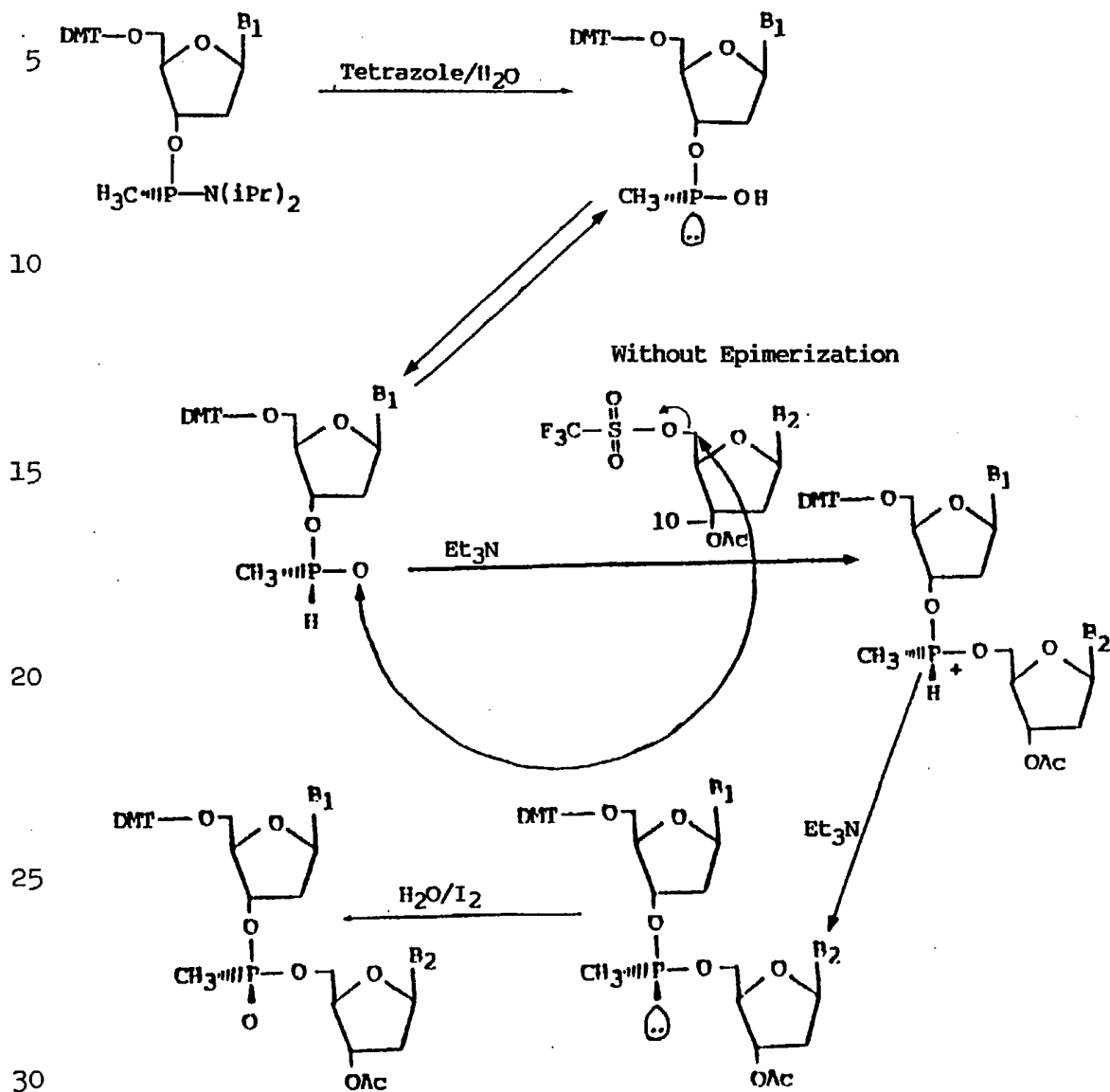
          The Sp configuration of the deprotonated  
20 linkage 9 is inverted by oxidation using 1 mMole  $\text{I}_2$  in  
10 ml water for 5 min to produce the Rp stereoisomer of  
the methylphosphonate dinucleotide 10.

          To regenerate the new 5'-terminal OH and  
thereby allow addition of new Rp phosphonate linkage,  
25 the 5'-DMT is removed and the resulting 5'-OH is  
activated by iodination followed by reaction with silver  
trifluoromethyl-sulfonate (6) to produce a new 5'-O-  
activated triflate (3).

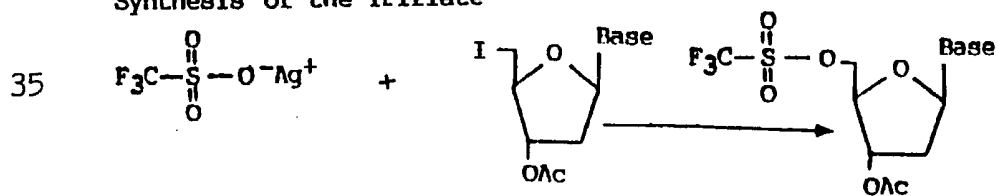
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### REACTION SCHEME 1



### Synthesis of the Triflate



# SUBSTITUTE SHEET

1

EXAMPLE 2METHODS FOR DETECTING AND MONITORINGTHE STEREOISOMERIC CONFIGURATION OF A PHOPHONATE LINKAGESeparation of Stereoisomers:

5           Rp and Sp stereoisomers of alkyl- or aryl-phosphonate nucleotides prepared as in Example 1, were stable and were separated by ion exchange chromatography or by high pressure liquid chromatography (HPLC) using anhydrous or aqueous solvents. Reversed phase or  
10 silica gel columns were employed when separation was by HPLC. For example, Sp- and Rp-stereoisomers of 5'-dimethoxytritylthymidyl-3'-methylphosphinate were separated by HPLC using acetic acid/methanol washed C<sub>18</sub> silica gel and CHCl<sub>3</sub>/methanol as an eluent.

15           Similarly, racemic 5',3'-protected dithymidine methylphosphonate was resolved into Rp and Sp stereoisomers by HPLC on a 4.6 x 250 mm column of silica gel a gradient of 10-15% acetonitrile in water for elution (Fig. 1). Accordingly, Rp and Sp stereoisomers  
20 of both nucleotides and short oligonucleotides can be chromatographically separated.

Detection by Circular Dichroism:

          Circular dichroism (CD) has been used to detect stereoisomeric differences. For example,  
25 separate Rp and Sp stereoisomers of dithymidine methylphosphonate have different CD spectra, wherein the Rp isomer has a larger CD peak and the Sp isomer CD trough is blue-shifted (Fig. 2).

Detection by Nuclear Magnetic Resonance:

30           Separated Rp and Sp stereoisomers have distinctive <sup>1</sup>H and <sup>31</sup>P nuclear magnetic resonance (NMR) spectra. For example, Figs. 3 and 4 depict the

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-67-

1 respective  $^1\text{H}$  and  $^{31}\text{P}$  NMR spectra of both Rp and Sp  
stereoisomers of dithymidine methylphosphonate.

Detection by Mass Spectroscopy:

Fast atom bombardment mass spectrometry  
5 (FABMS) has been used extensively to examine the  
structures of oligonucleotides having molecular weights  
up to 10,000 g/mole (Stec et al. 1985 J. Org. Chem. 50:  
3908; Ulrich et al. 1984 Org. Mass Spectrom. 19: 585;  
Grotjahn et al. 1982 Nucleic Acids Res. 10: 4671;  
10 Grotjahn et al. 1983 Int. J. Mass Spectrom. Ion Phys.  
46: 439; Sindona et al. 1982 J. Chem. Res. (S):184;  
Eagles et al. 1984 Biomed. Mass. Spectrom. 11: 41;  
Connolly et al. 1984 Biochemistry 23: 3443-3453; and  
Matsuo et al. 1986 34th Annual Conference on Mass  
15 Spectrometry and Allied Topics, 329). Therefore, FABMS  
has utility for structural analyses of R and S  
stereoisomers of alkyl- and aryl-phosphonates.

For example, FABMS of tetrathymidine  
methylphosphonate (i.e. DMT-TpTpTpT-OAc) which was  
20 sputtered from thioglycerol yielded the spectrogram  
depicted in Fig. 5 wherein peaks corresponding to  
distinct molecular fragments are identified (e.g. DMT-  
TpT is dimethoxytrityl-dithymidine methylphosphonate).

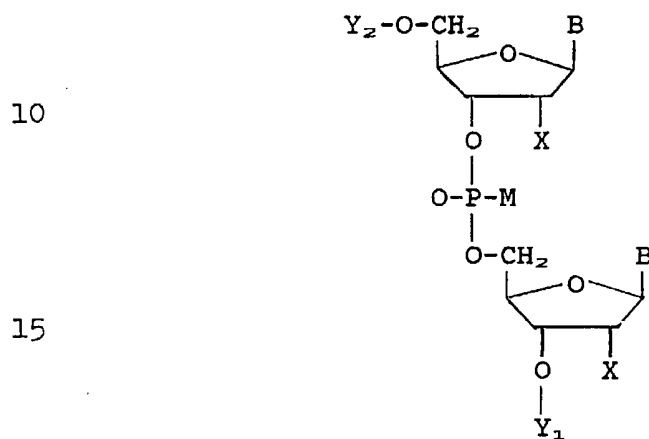
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1 WHAT IS CLAIMED:

1. A method for producing an oligonucleotide having an Rp stereoisomeric alkyl- or aryl-phosphonate linkage between a first nucleotide and a second nucleotide in the oligonucleotide, wherein said
- 5 nucleotide in the oligonucleotide, wherein said oligonucleotide has the formula:



20 which comprises:

- (a) reacting a 5'-O-activated nucleotide of the formula:



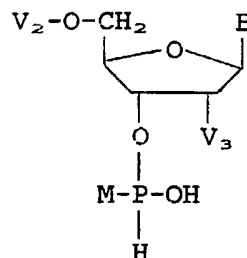
30 with an alkyl- or aryl-phosphinate nucleotide intermediate of the formula:

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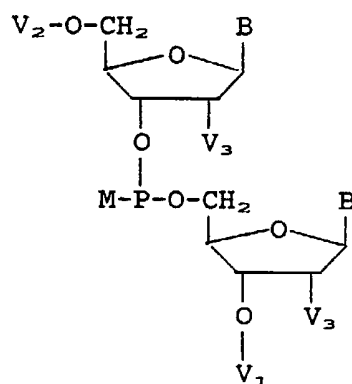
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under conditions sufficient to produce an Sp  
 stereoisomeric alkyl- or aryl-phosphonate linkage of the  
 formula:

15

20



wherein:

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$Y_1$  is a hydrogen, phosphate, phosphate present  
 in said oligonucleotide or  $V_1$ ;

$Y_2$  is a hydrogen, phosphate, phosphate present  
 in said oligonucleotide or  $V_2$ ;

$X$  is hydroxy or  $V_3$ ;

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$V_1$  is a protecting group, solid support or  
 phosphate present on the penultimate nucleotide of said  
 oligonucleotide;

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- 1            $V_2$  is a protecting group;  
           $V_3$  is hydrogen or  $OY_3$  wherein  $Y_3$  is lower  
alkyl or protecting group;  
          M is a lower alkyl, cycloalkyl, thioxo, a  
5 thio-lower alkyl, aryl or aryl-lower alkyl group which  
can be substituted with at least one hydroxy, halogen or  
cyano group;  
          each B group is independently a purine or  
pyrimidine base which can have 1-3 substituents selected  
10 from the group consisting of lower alkyl, amino, oxo,  
hydroxy, lower alkoxy, amino-lower alkyl, lower  
alkylamino, hydroxy-lower alkyl, aryl and aryl lower  
alkyl;  
          A is an activating group; and  
15           said intermediate has an Sp phosphorus  
stereoisomeric configuration;  
          (b) reacting said Sp linkage with an oxidizing  
agent under conditions sufficient to produce said Rp  
stereoisomeric alkyl- or aryl-phosphonate linkage; and  
20           (c) when  $V_1$ ,  $V_2$  or  $V_3$  is a protecting group,  
optionally removing said  $V_1$ ,  $V_2$  or  $V_3$  protecting group.  
          2. A method of producing at least one Rp-  
alkyl-phosphonate or Rp-aryl-phosphonate linkage in a  
polynucleotide chain of an oligonucleotide, wherein said  
25 oligonucleotide has the formula:

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